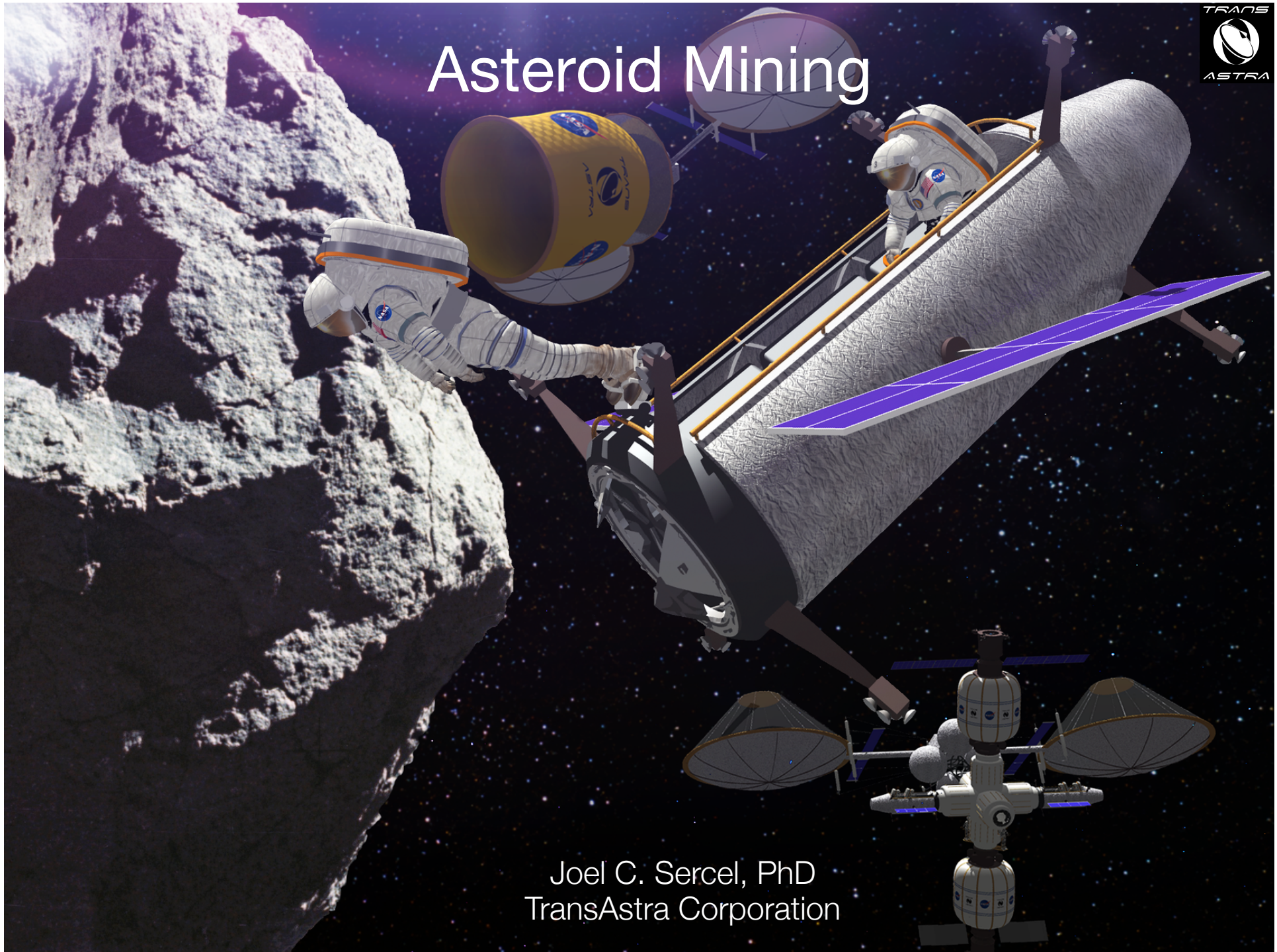


# Asteroid Mining



Joel C. Sercel, PhD  
TransAstra Corporation

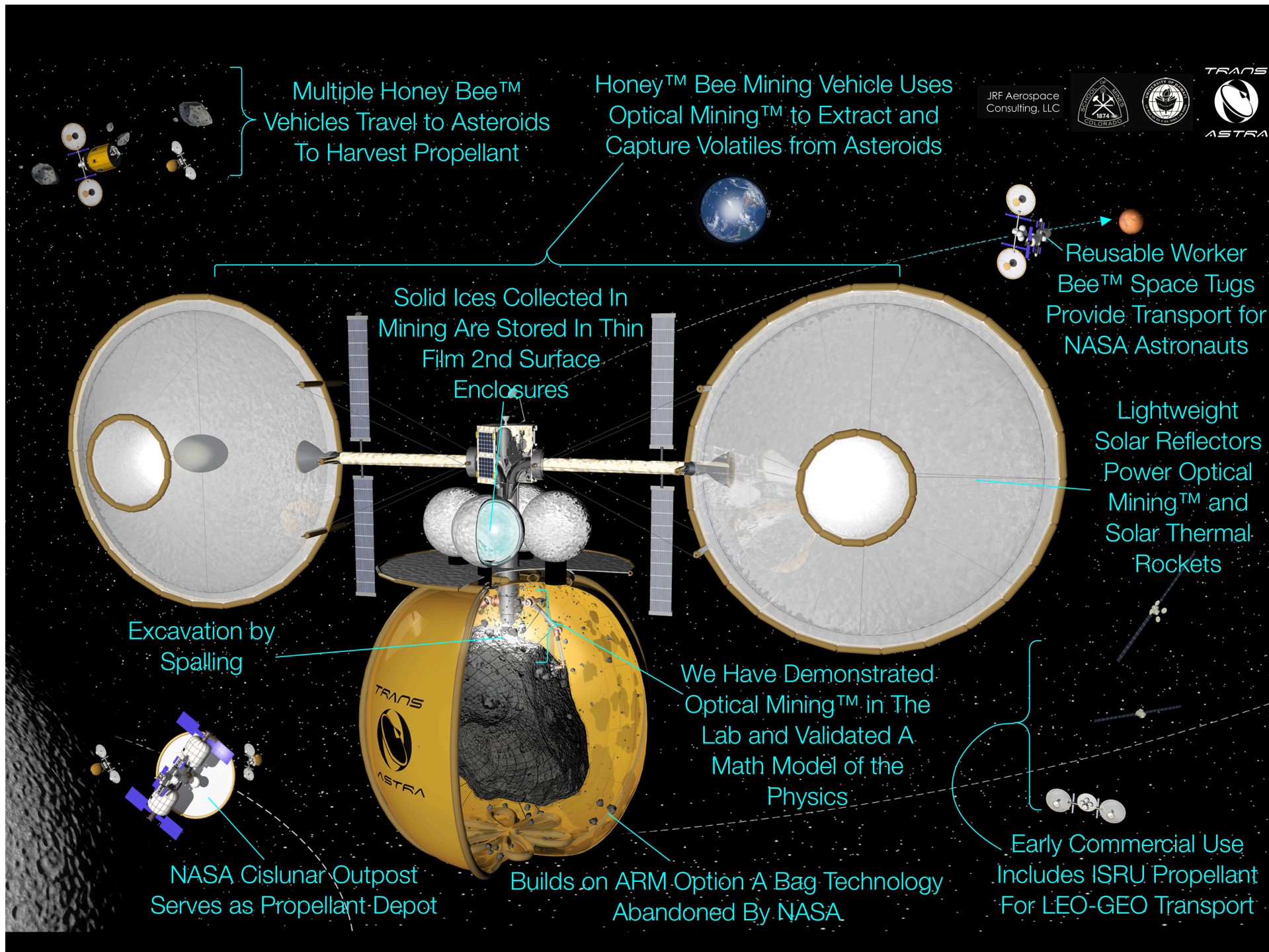




# Co-Investigators and Technical Collaborators

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- Prof. Daniel Britt, University of Central Florida
- Mr. James French
- Dr. Robert Jedicke, University Of Hawaii Institute for Astronomy
- Prof. Chris Dreyer, The Colorado School of Mines
- Mr. Anthony Longman (Board Member)
- Dr. Stanley Love, NASA Astronaut



# Mission Applications of Asteroid Resources

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- Lunar Orbital Outpost
    - Established in Lunar Distant Retrograde Orbit (LDRO) and operated for life of scenario
  - Human Near Earth Object (NEO) Exploration
    - Focused on crewed missions to a variety of small (10 m class) targets (five 14 month missions)
  - Lunar Surface Operations (LSO)
    - Establishment and operate a lunar surface base (two crewed and two cargo lander per year)
  - Mars Exploration
    - Four crewed exploration mission to Deimos crewed Mars landing
    - Return missions could lead to establishment of Deimos or surface base, but not covered in the version of this scenario presented
- 
- LEO to GEO commercial satellite transportation
  - Cislunar Tourism
  - Other

# It is About Public Private Partnership

	Total Cost \$B	Savings \$B	Comments
<b>NASA Business As Usual, Supply From Earth</b>	>400	0	Unaffordable: requires doubling the NASA Budget
<b>Commercial Business Practices, Supply From Earth</b>	~300	~90	Unaffordable: requires > 50% increase in NASA Budget
<b>NASA Business As Usual, Asteroid Resources</b>	~150	~250	Marginal, Requires $\approx$ 30% increase in NASA budget
<b>Commercial Business Practices, Asteroid Resources</b>	~95	~305	Affordable, Average NASA Human Exploration Budget $\approx$ \$6B Annually, Fits Within Congressional Guidelines for HEOMD.

Required: Major Shift to PPP for Deep Space Transportation Plus Massive ISRU

# Sutter Survey: Space Mission Roadmap to Prospecting Thousands of Asteroids



NASA NIAC Study

Joel C. Sercel, PhD and Robert Jedicke, PhD

# Accepted Manuscript

Availability and delta-v requirements for delivering water extracted from near-Earth objects to cis-lunar space

Robert Jedicke, Joel Sercel, Jeffrey Gillis-Davis, Karen J. Morenz, Leslie Gertsch

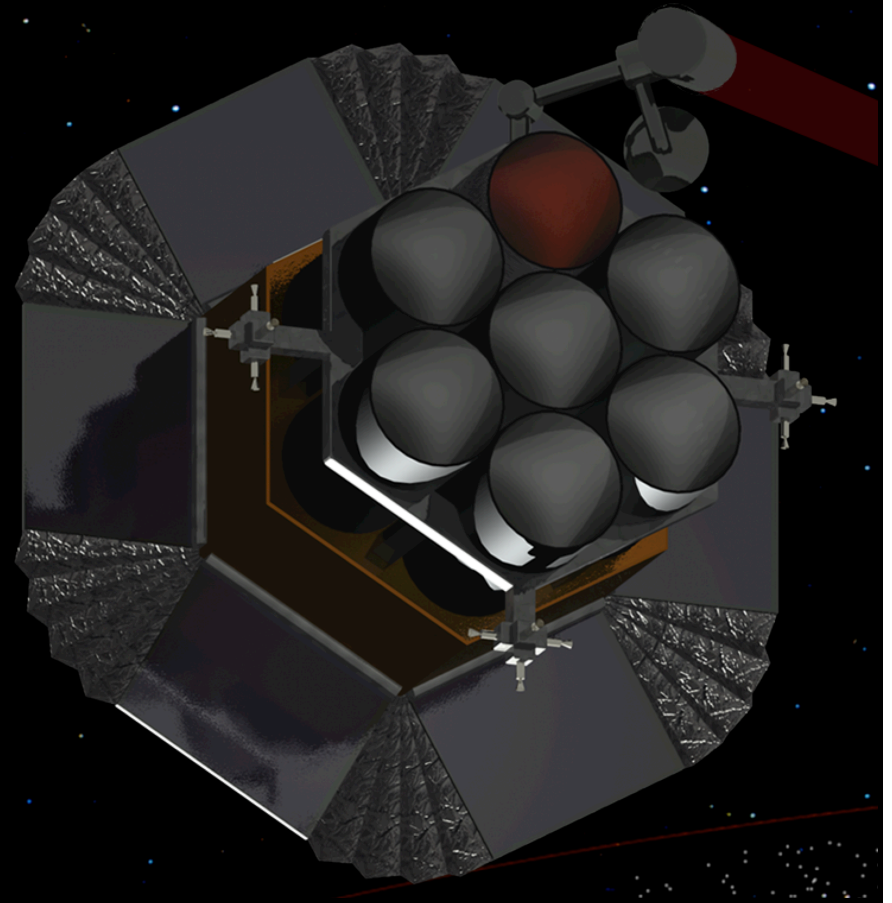


## Key Findings

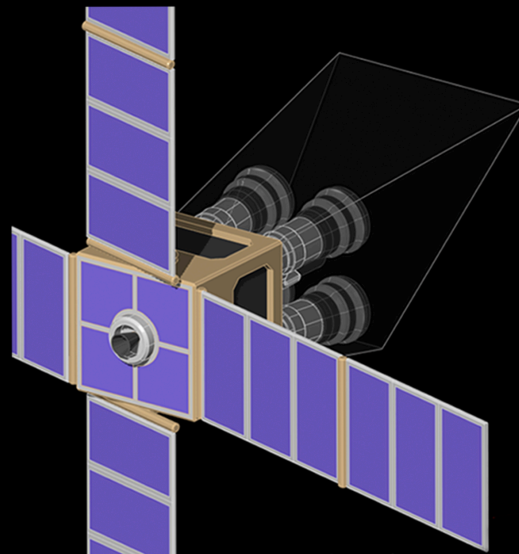
- $\approx 1,000$  low  $\Delta V$  targets (only a few found!)
- Population goes as cube of  $\Delta V$
- Water rich targets tend to be smaller

# Sutter is the Solution...

Sutter Extreme




Sutter



Sutter Demo



The background of the slide is a composite image showing various spacecraft and debris in orbit above Earth's cloud-covered surface. In the foreground, a large satellite with a white body and black solar panels is prominent. Other smaller satellites and numerous dark, irregularly shaped debris fragments are scattered throughout the scene. A bright sun is visible in the upper left corner, creating a lens flare effect. A diagonal yellowish-green band cuts across the upper right portion of the image.

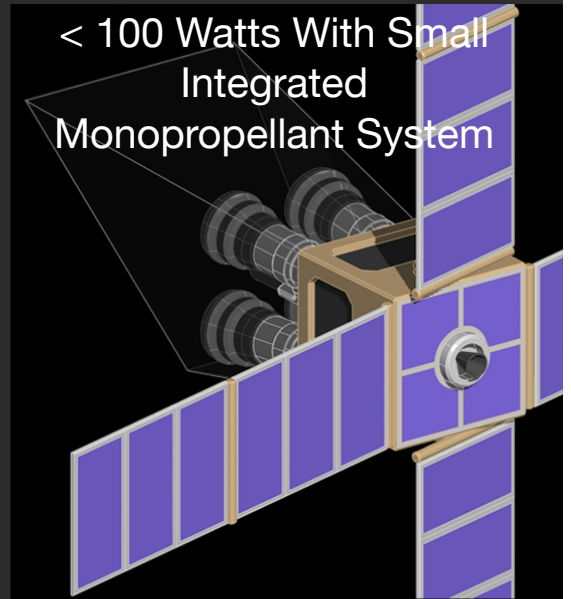
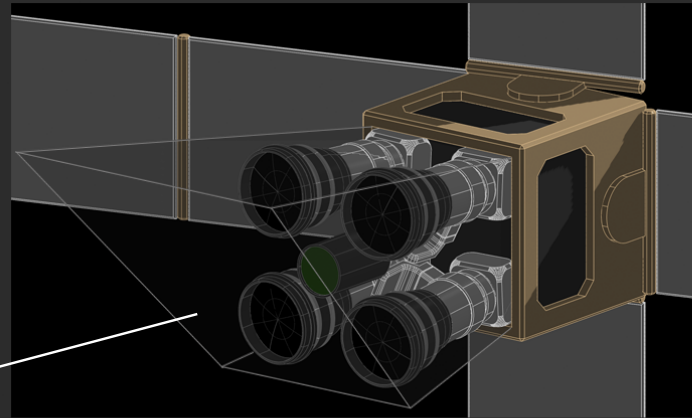
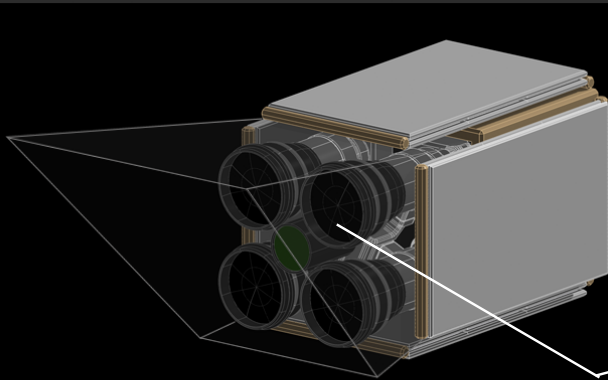
# Sutter Demo

## *A CubeSat Scale Technology Demonstration Mission*

Competitively Selected by NASA for a \$2.5M Flight Mission  
(Theia)

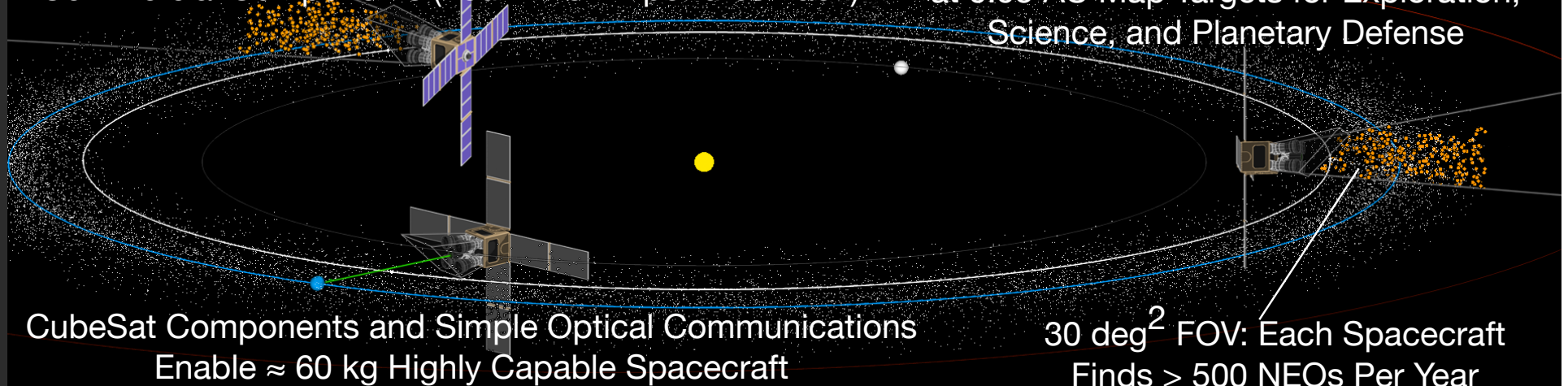
Issues With NASA Budget and Contract Negotiation

# Sutter Survey - Telescope Breakthrough Enables MicroSats To Map Accessible NEOs



Compound Synthetic Tracking (CST) Optics Derived from Commercial Components (Four 14 cm Apertures Each)

3 Micro-Spacecraft In Heliocentric Orbits at 0.95 AU Map Targets for Exploration, Science, and Planetary Defense



# Sutter Extreme

Sutter Extreme - Revolutionary New Frontiers Class Mission With Beyond Flagship Performance

Discover, Track, Size, and Characterize > 7,500 Asteroids Per Year Including > 600 Water Rich Low  $\Delta V$  ISRU and Exploration Targets

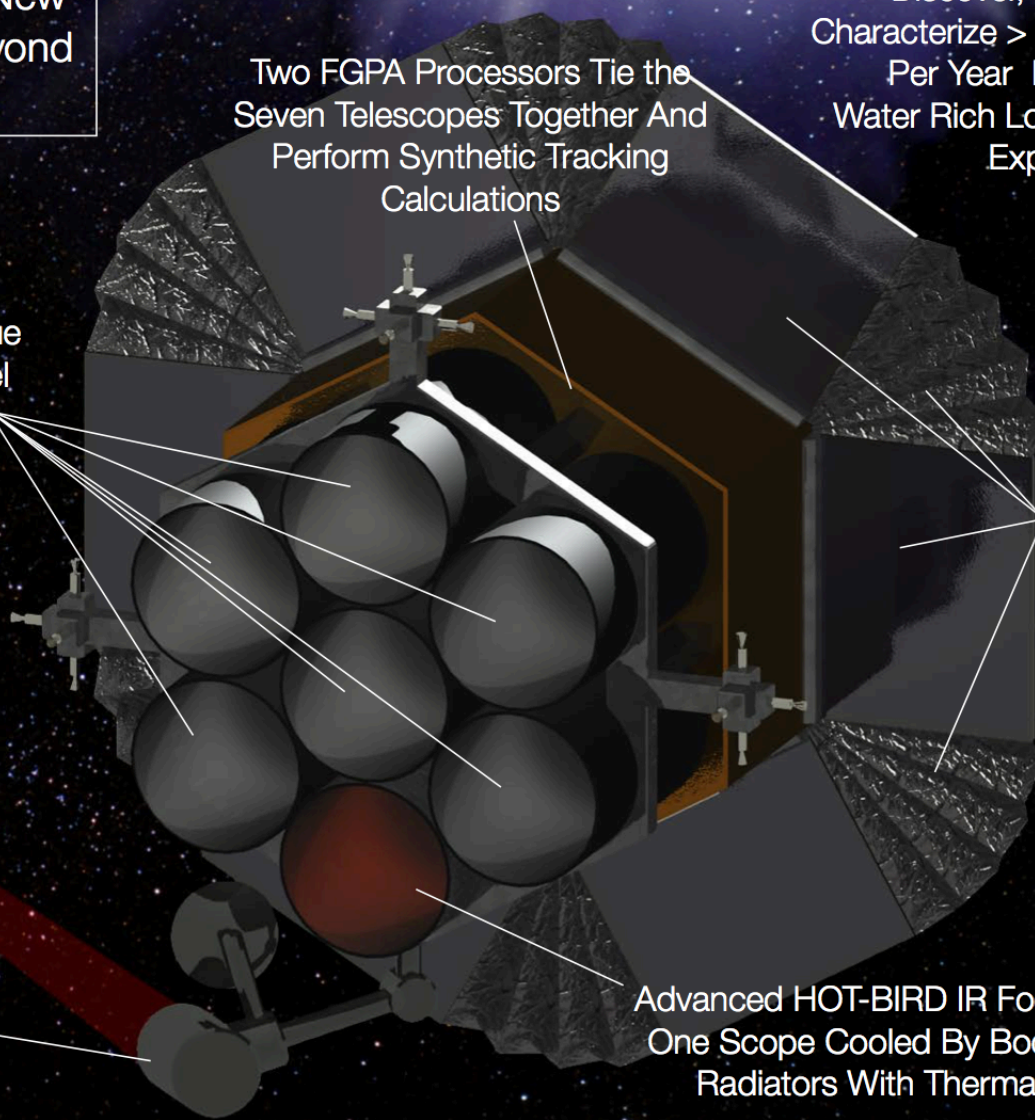
Six 50 cm Visible Light Apertures Each With A Unique Color Filter and a 100 MPixel sCMOS Focal Plane

Two FPGA Processors Tie the Seven Telescopes Together And Perform Synthetic Tracking Calculations

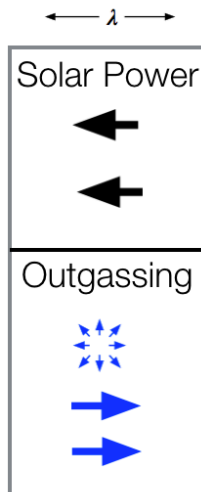
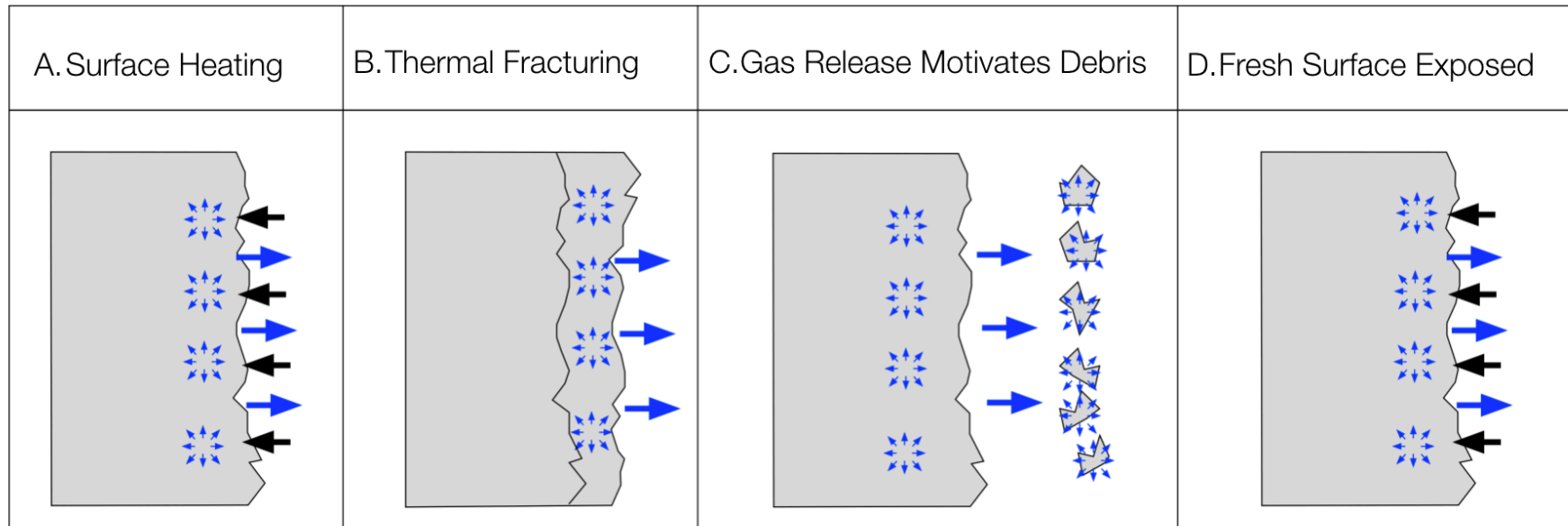
Integrated Deployable Solar Panels and Thermal Shields

Optical Communication For Massive Data Volume Return

Advanced HOT-BIRD IR Focal Plane On One Scope Cooled By Body Mounted Radiators With Thermal Shields



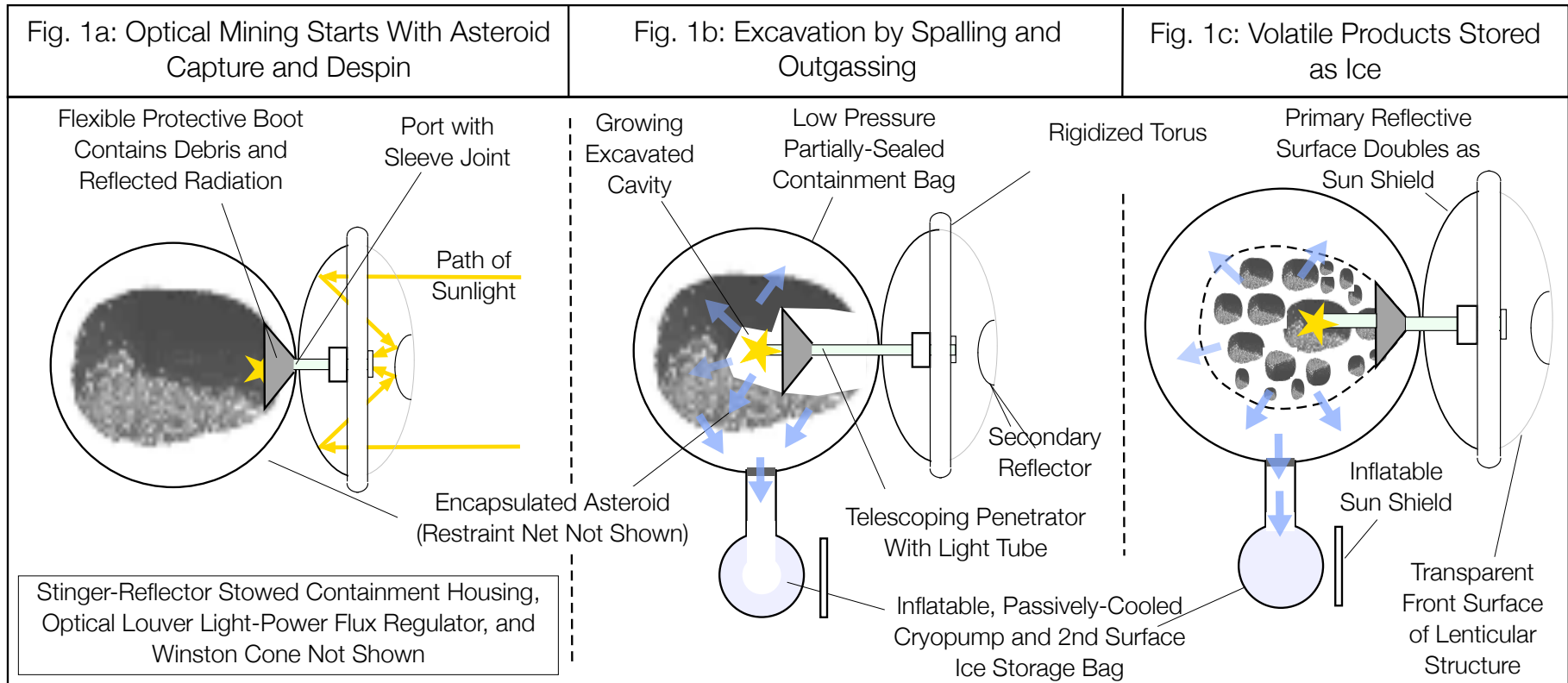
# Thermal Spalling: Key to Optical Mining™



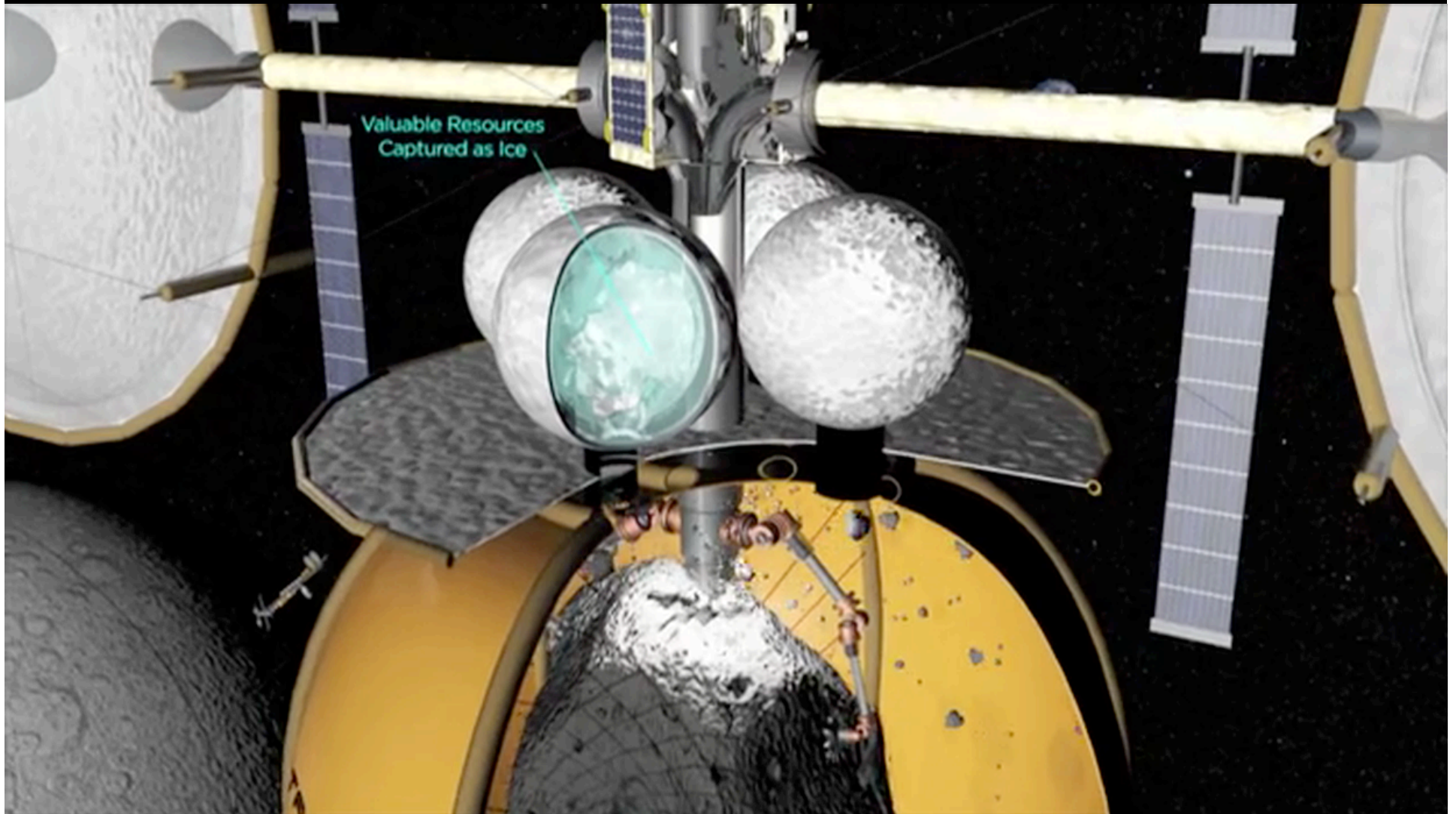
Progress continues at  $\approx 1\text{m/hr}$  at surface blackbody peak temperature  $\approx 1000\text{K}$ :

1. Cold surface temperature rises to near the blackbody temperature associated with the intensity of the applied radiation over a period of a few seconds establishing a mm scale hot layer.
2. A spall surface is created primarily by compressive thermal stress aided by thermal shear and gas pressure gradient.
3. mm scale spall particles fully outgas in seconds as surface outgassing drives them from the asteroid.
4. Process repeats in a continuous fashion exposing new surface to applied radiation.

# Optical Mining™



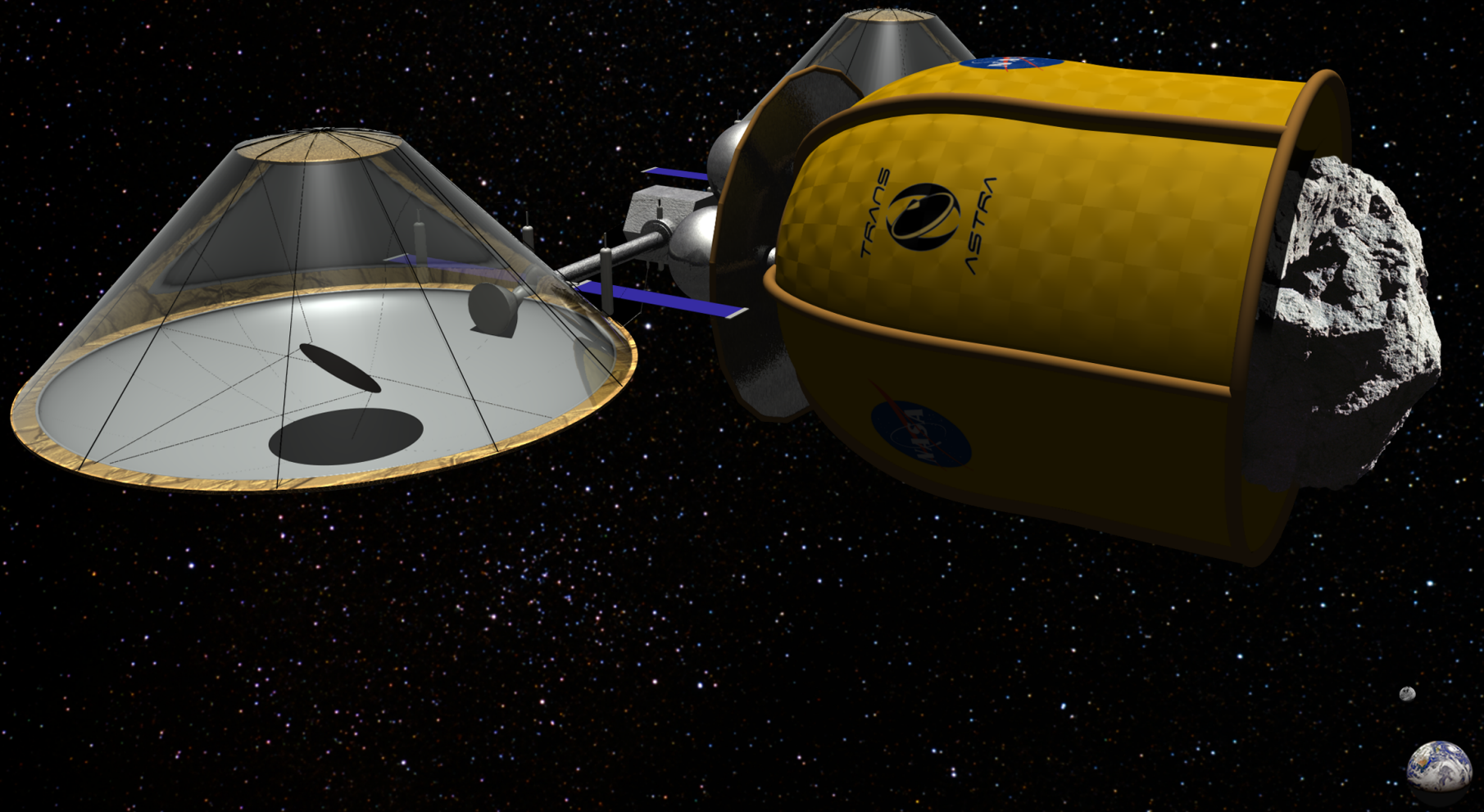
# Optical Mining™





# Honey Bee Robotic Asteroid Capture for ISRU Resource Return

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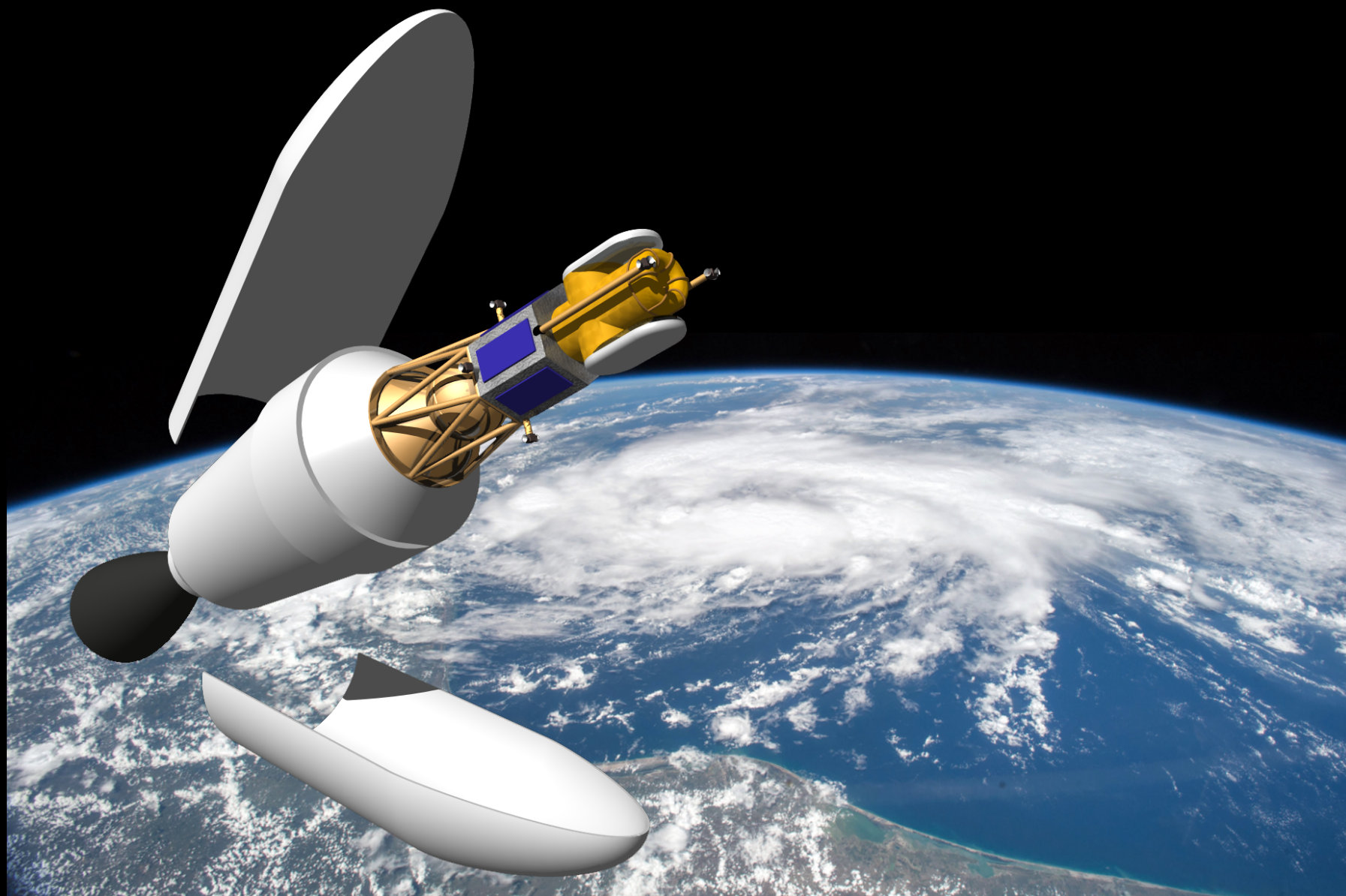


But First

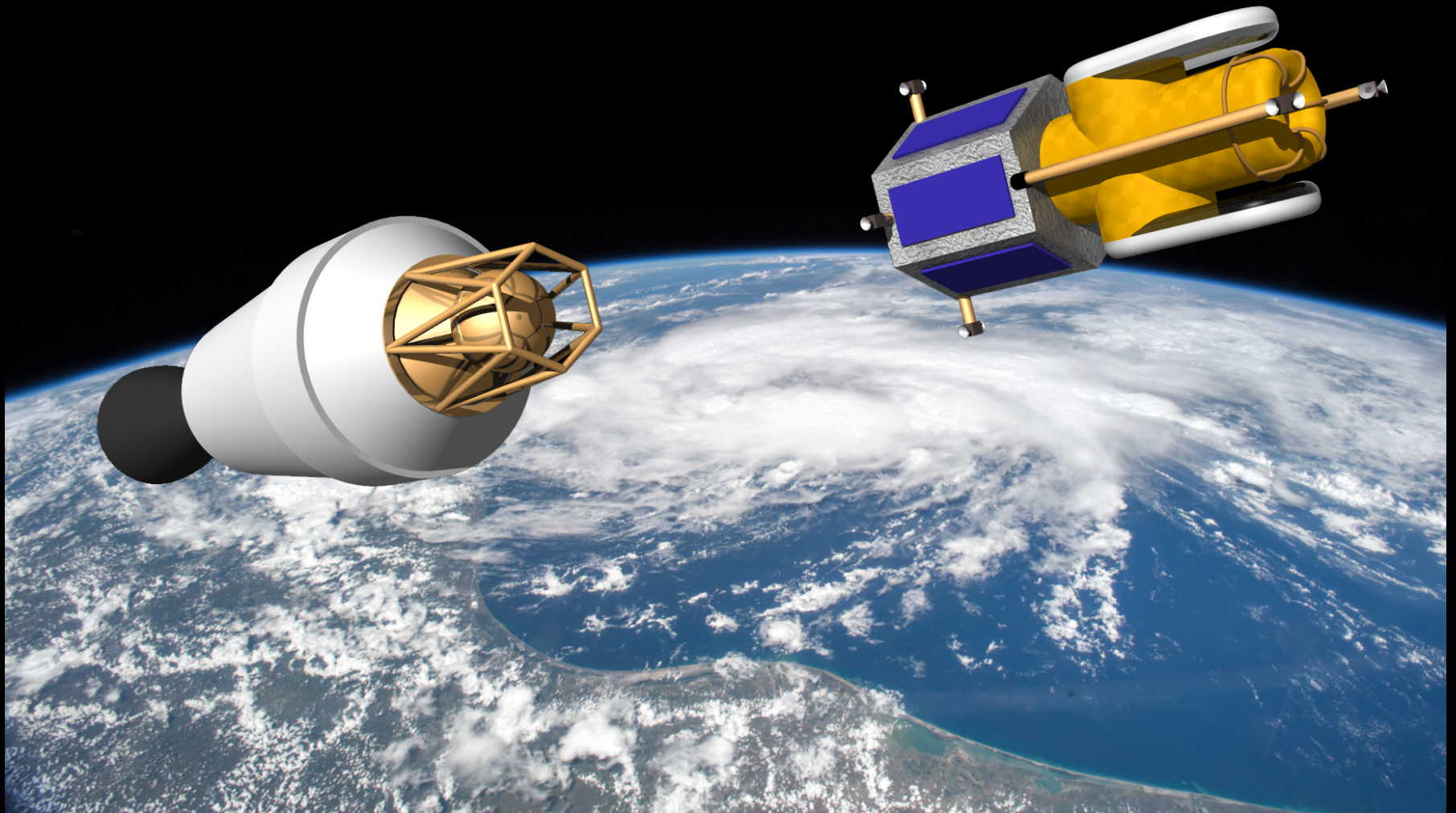


# Mini Bee Tech Demo Mission: Launch On A SmallSat Launcher (eg Launcher One)

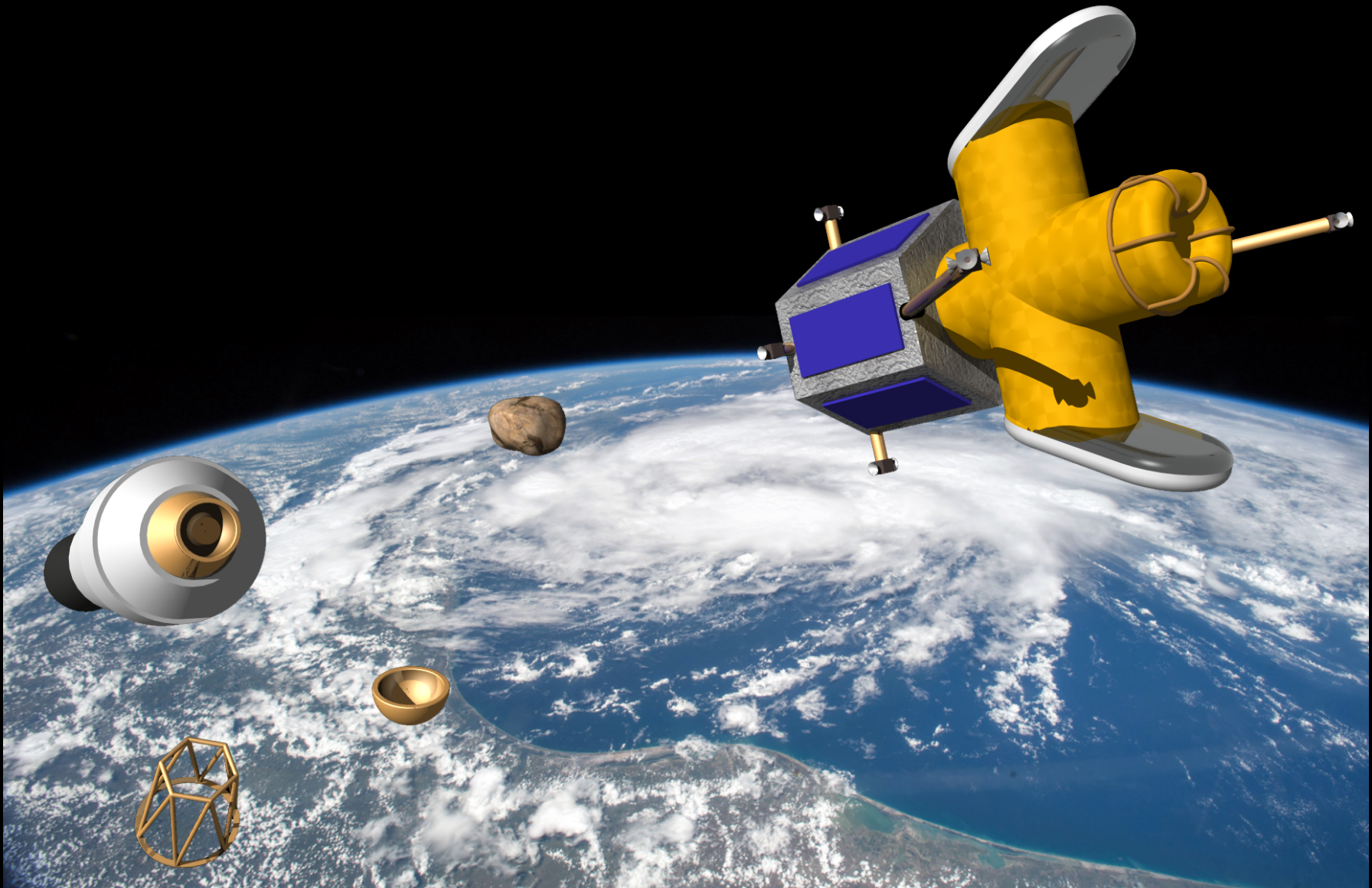
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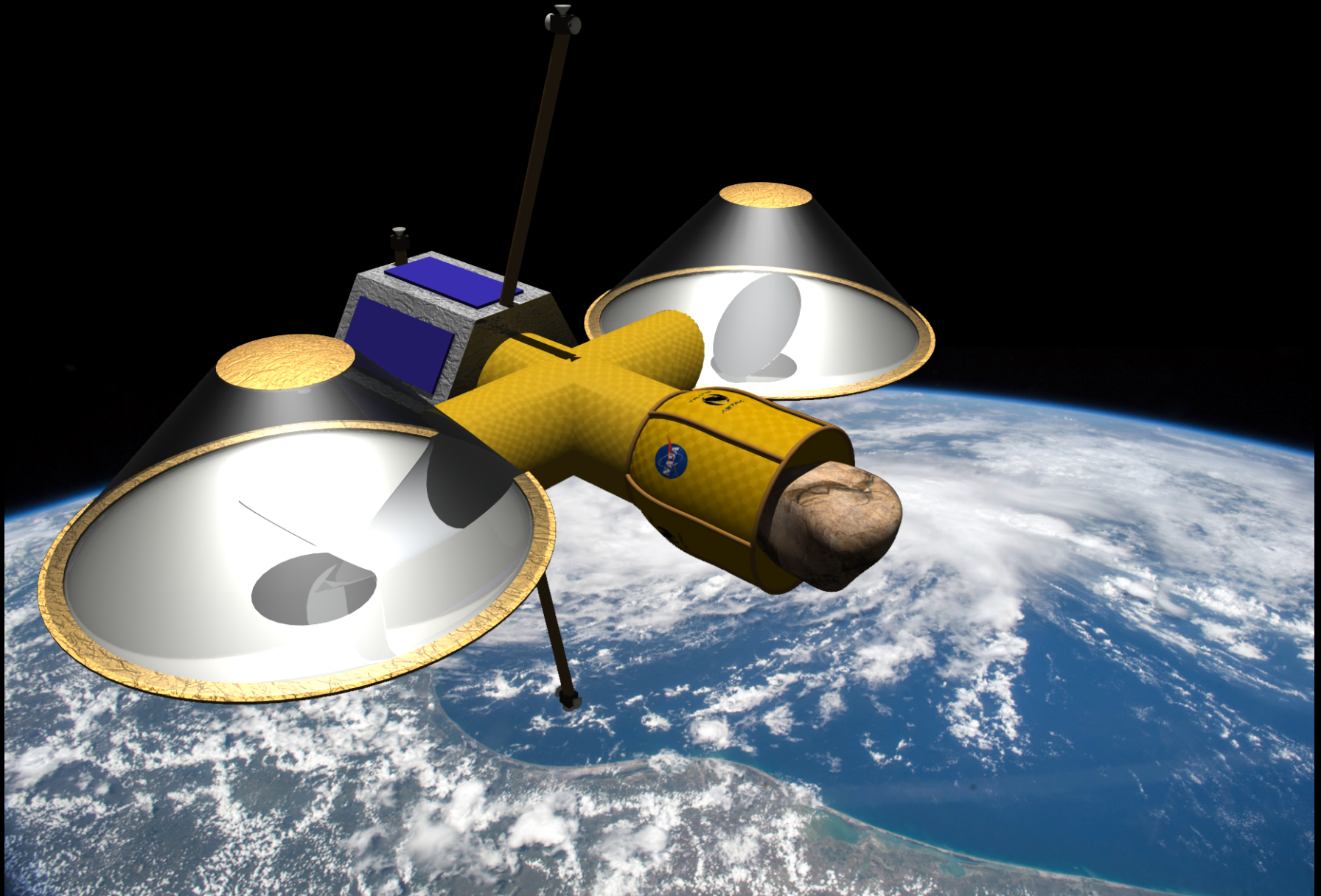
# Mini Bee Separation



# Synthetic Asteroid and Structures Deployment



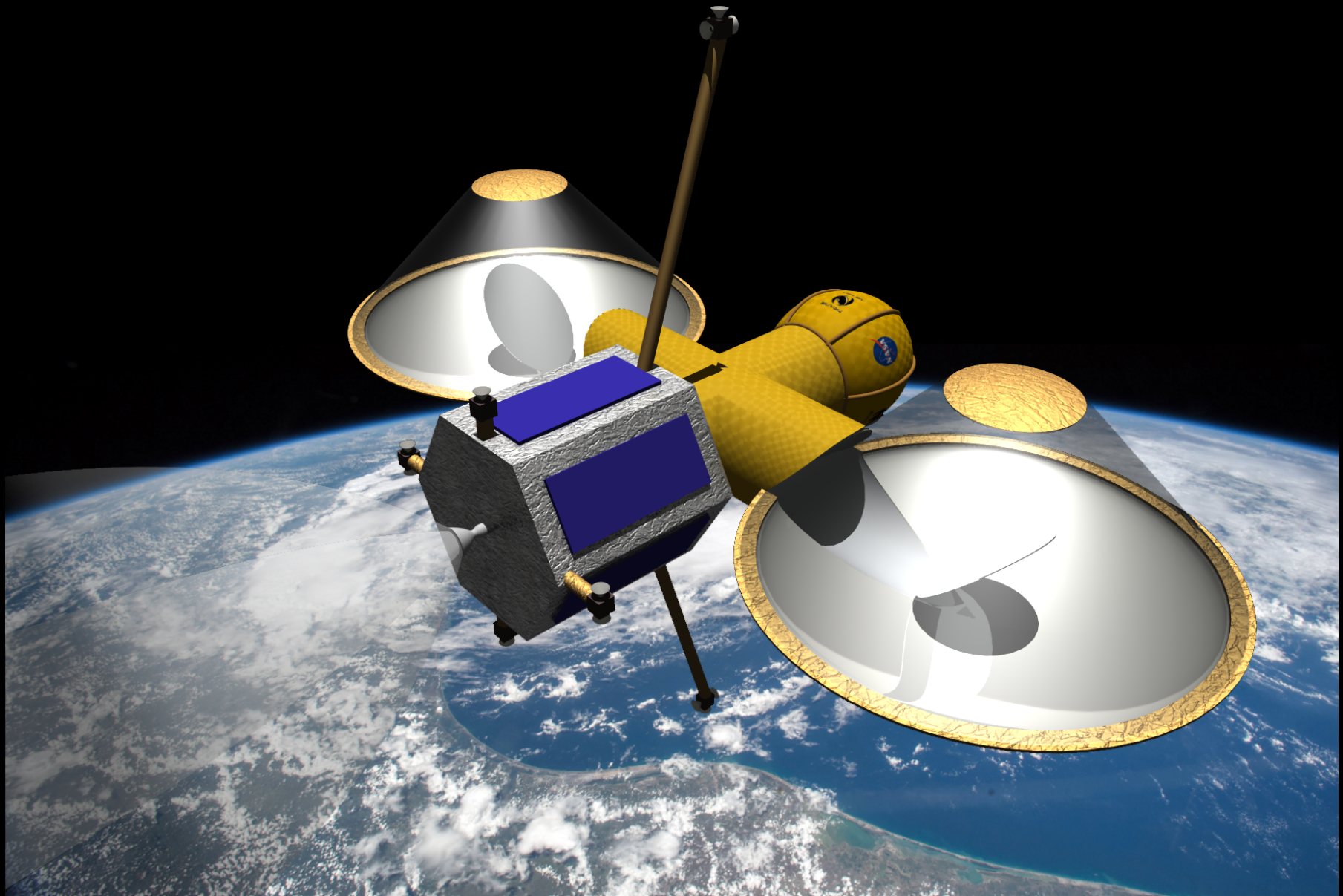
# Synthetic Asteroid Capture and Optical Mining Demonstrator





# Demonstration of Solar Thermal Rocket Maneuvering With Mined Propellant

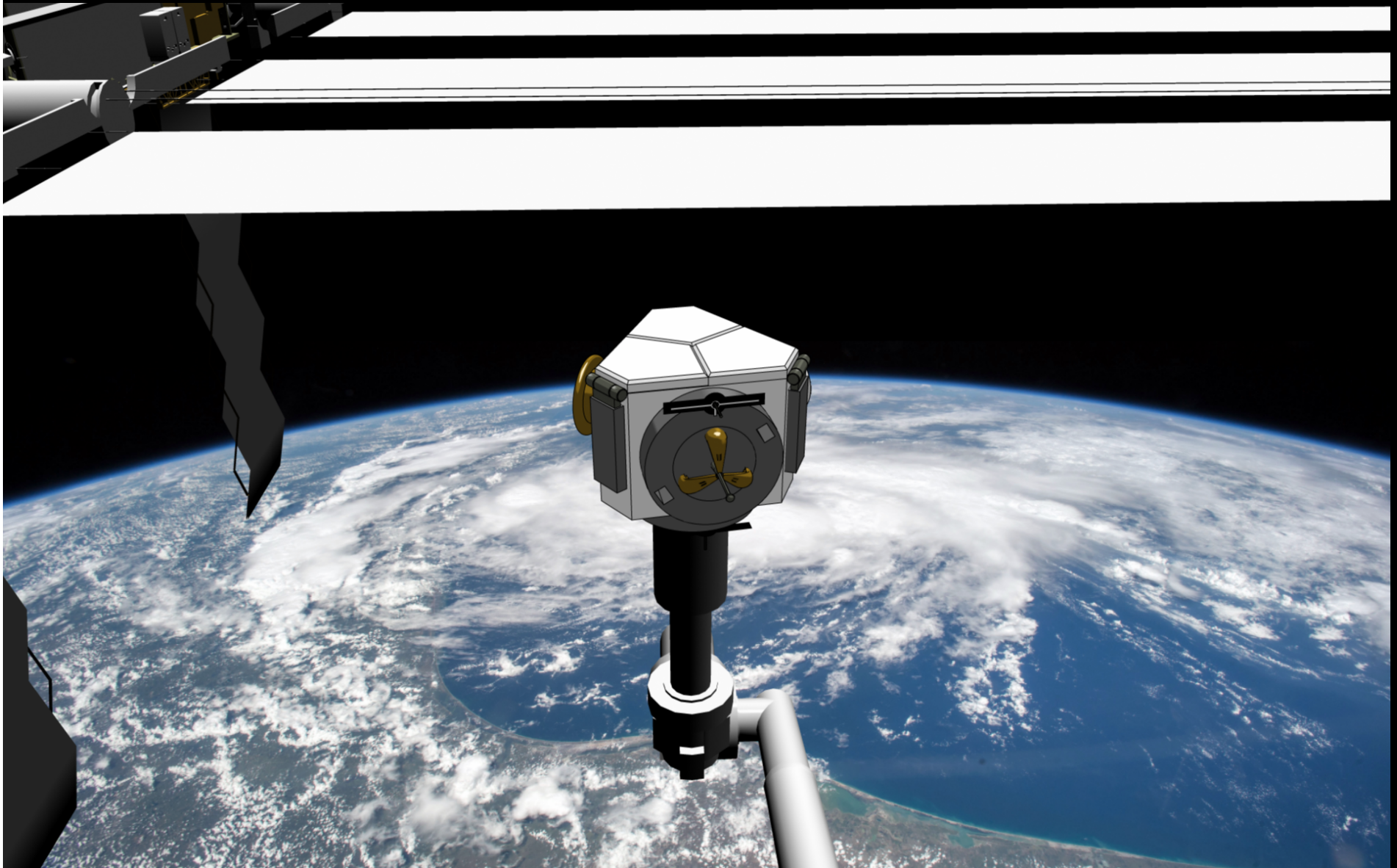
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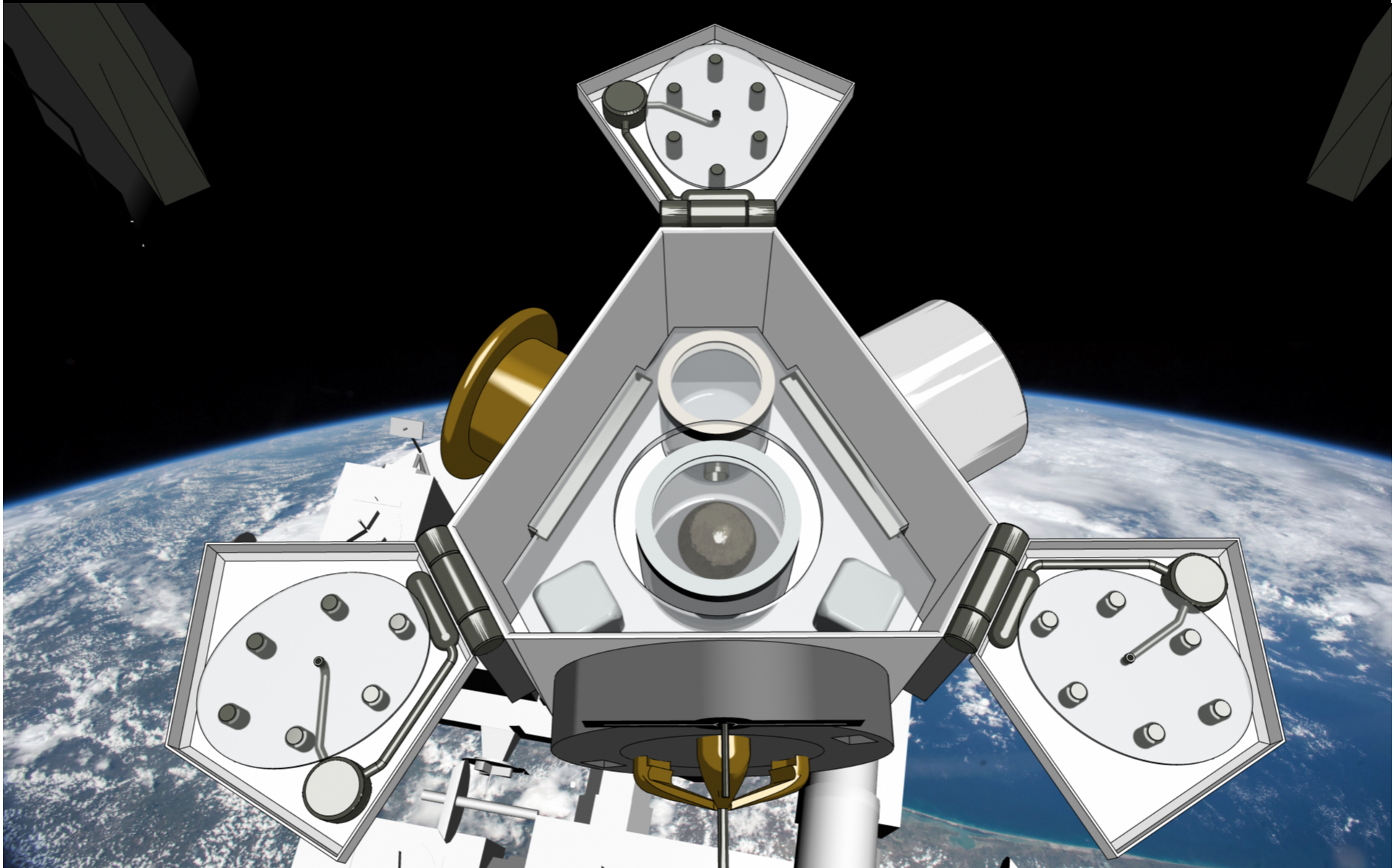
Before That

# Optical Mining Experiment Module (OMEM)

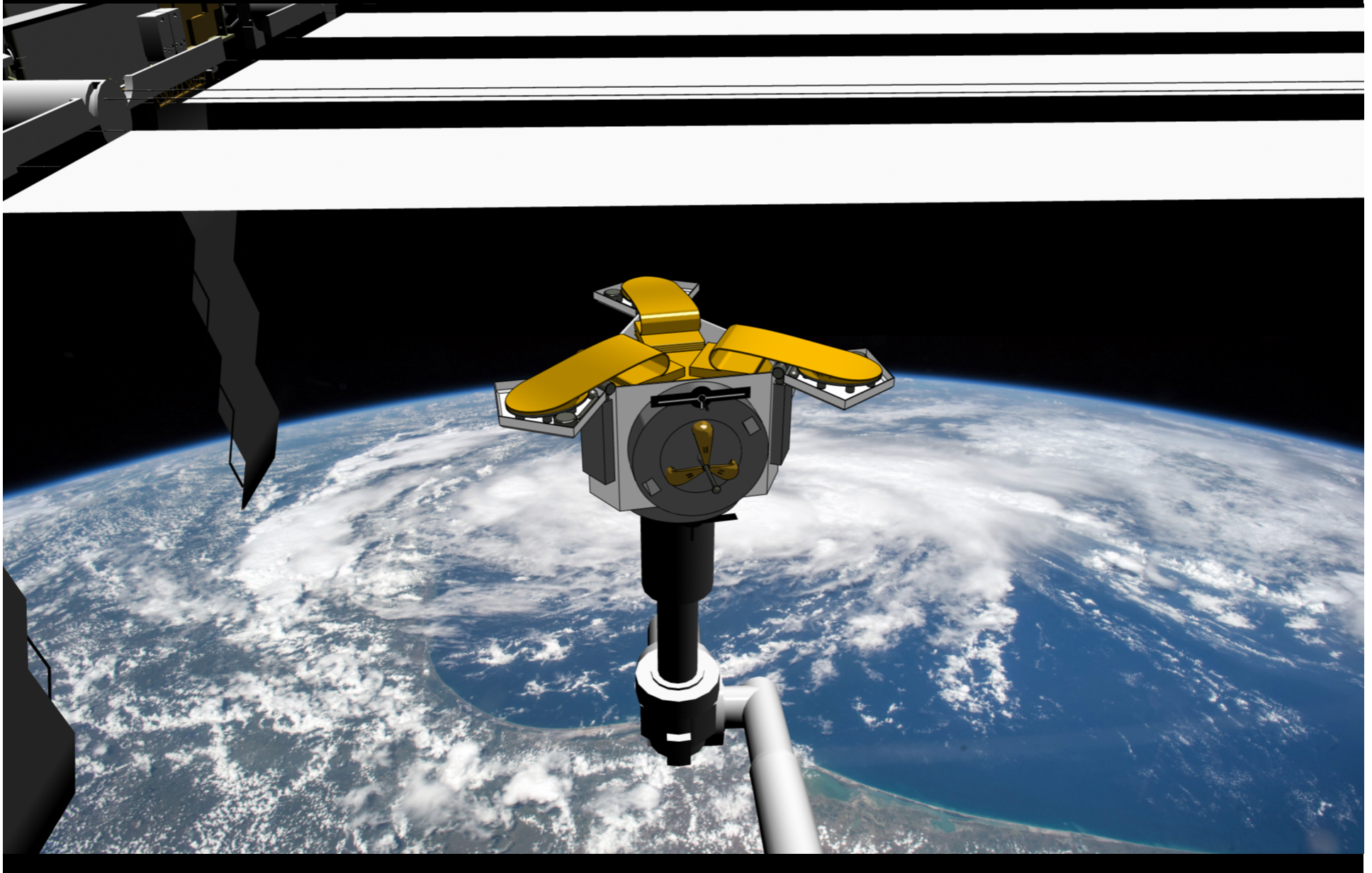
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# OMEM Interior Cutaway

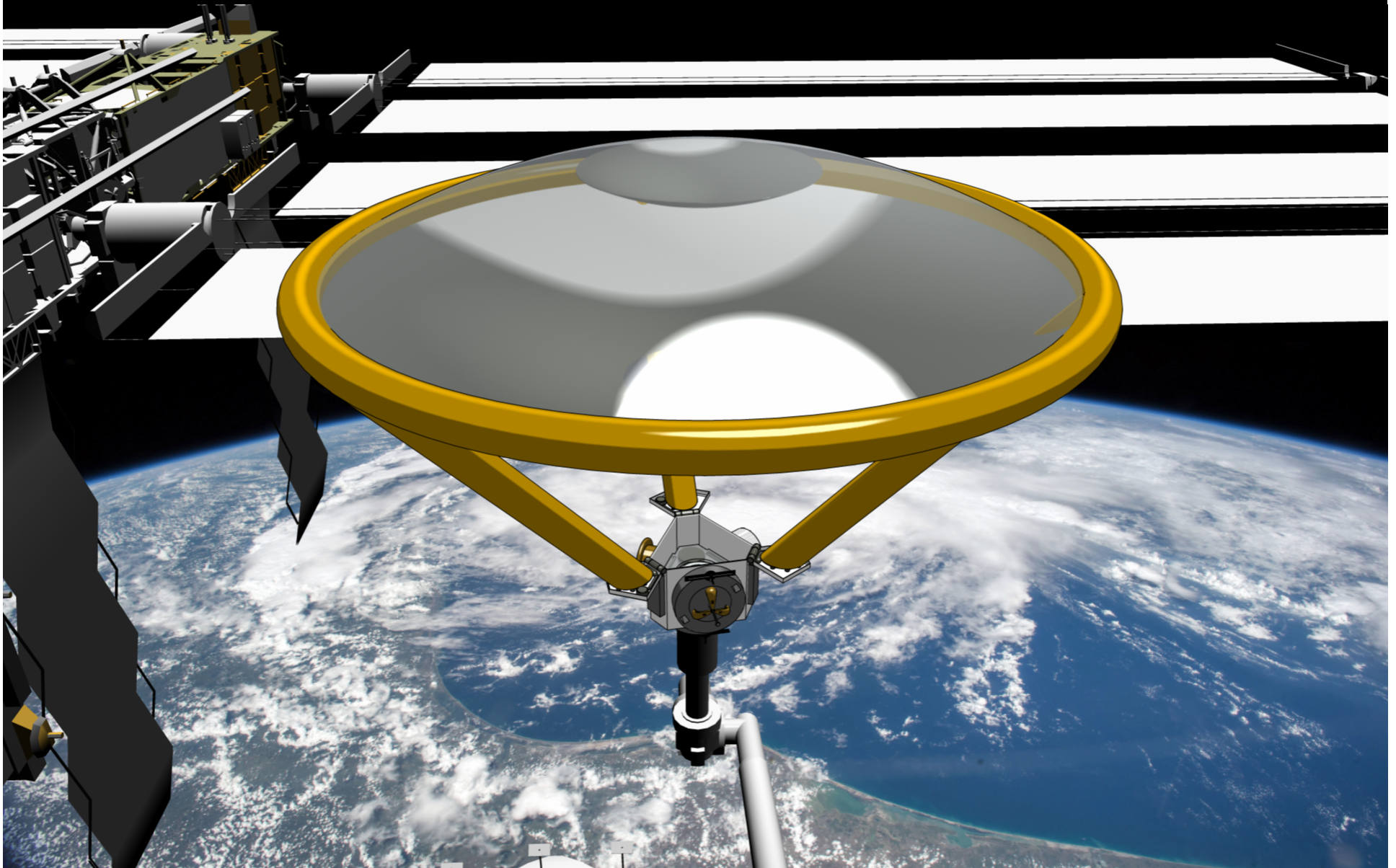


# OMEM Inflatable Deployment

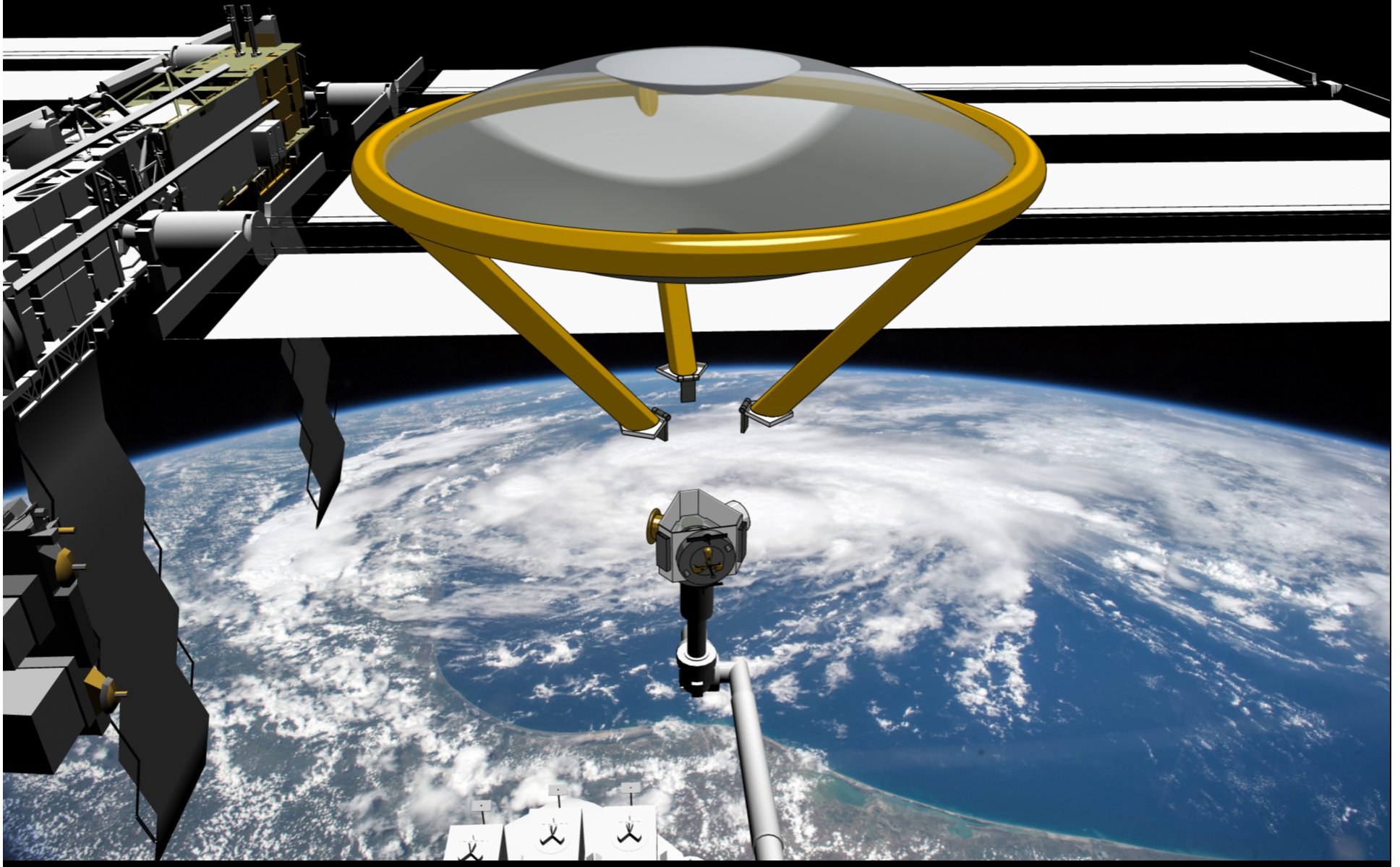


# OMEM Optical Mining Demonstration

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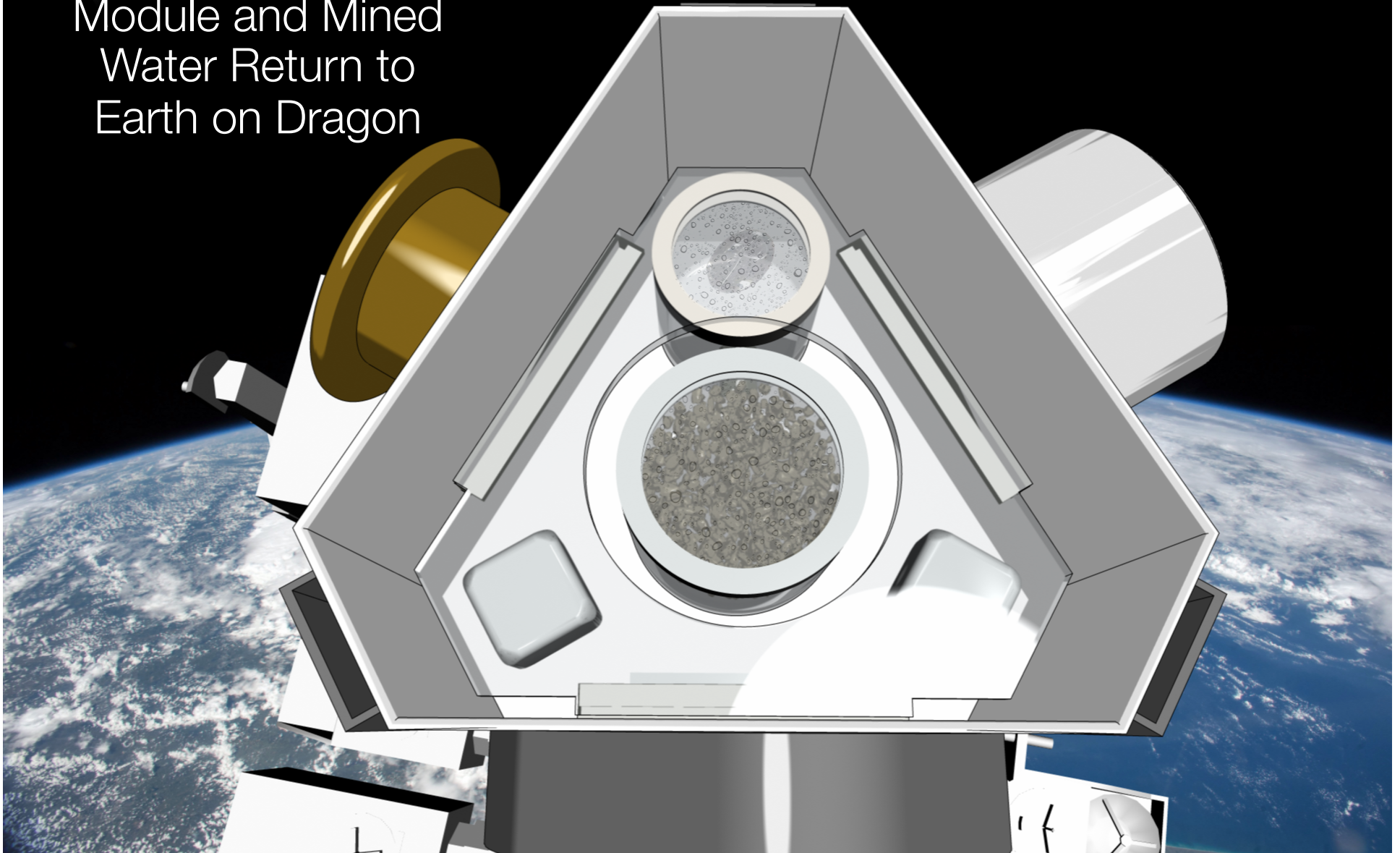


# Inflatable Structure Separation and Deployment



# First Space Asteroid Mining!

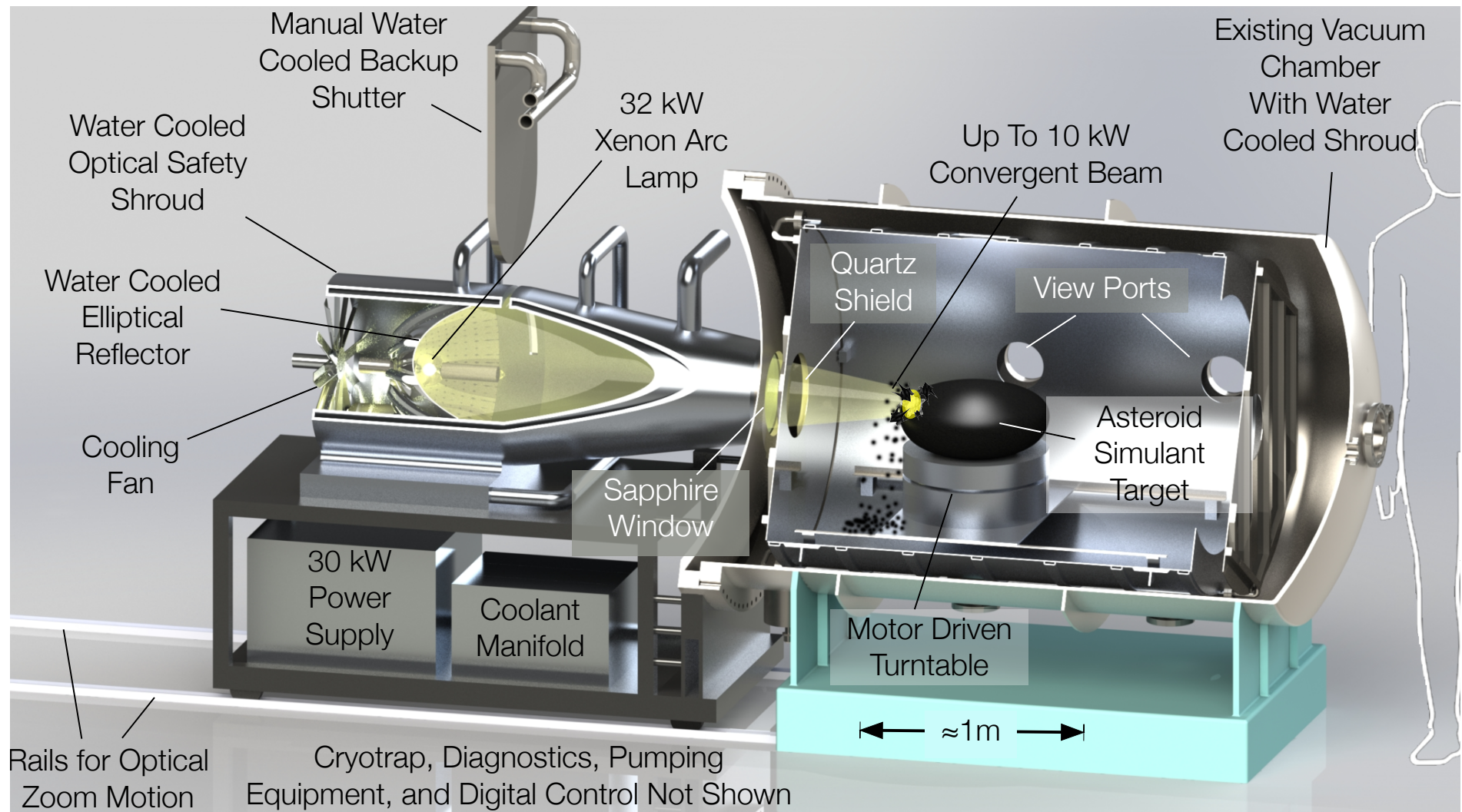
Module and Mined  
Water Return to  
Earth on Dragon



But First

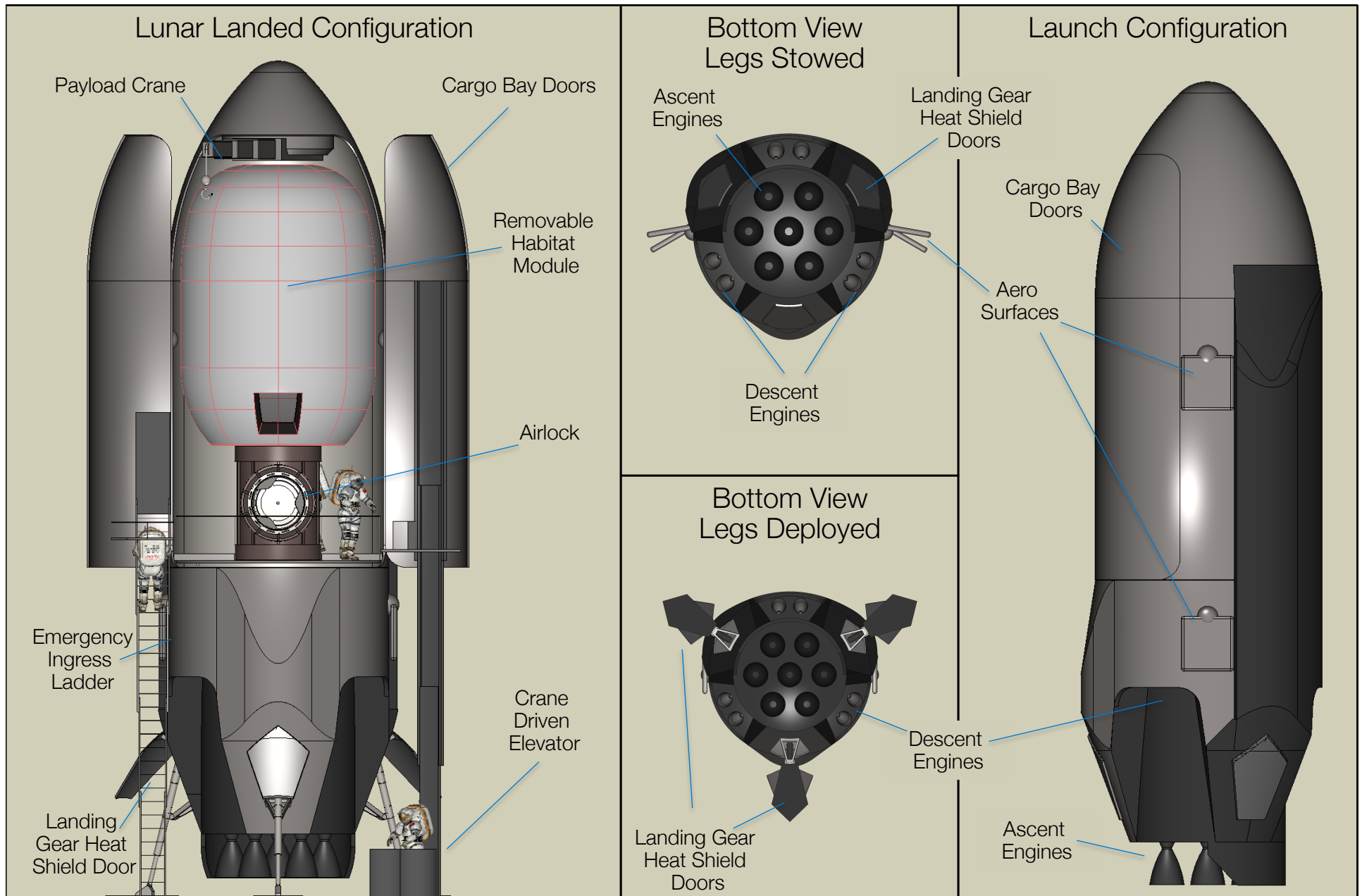
# The Next Step...

## The Optical Mining™ Test Bed (OMTB)



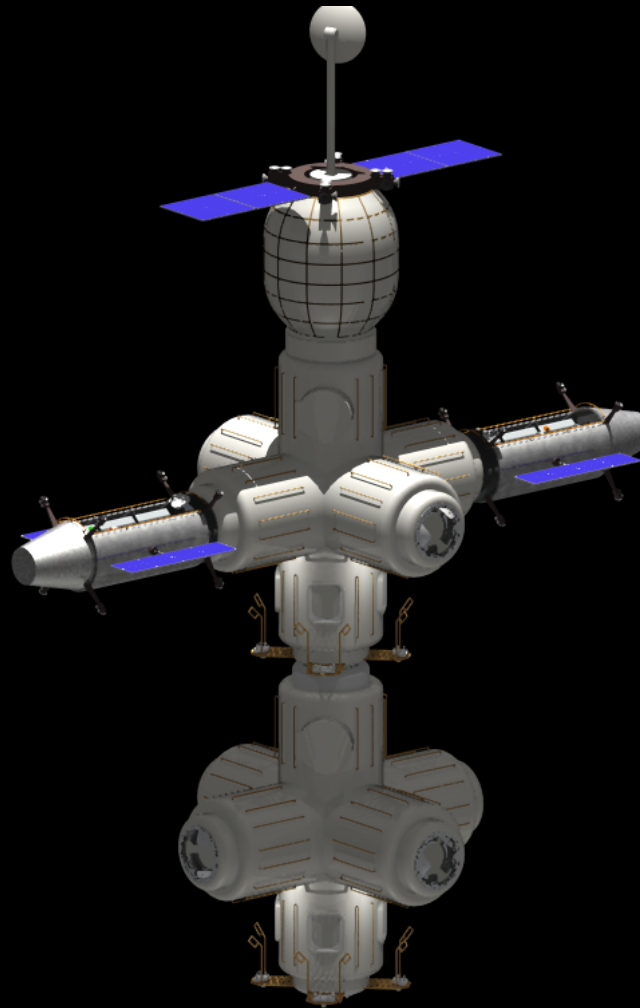
Builds on Complete Theory and Dozens of Demonstrations

# Reusable Spaceship Upper Stage



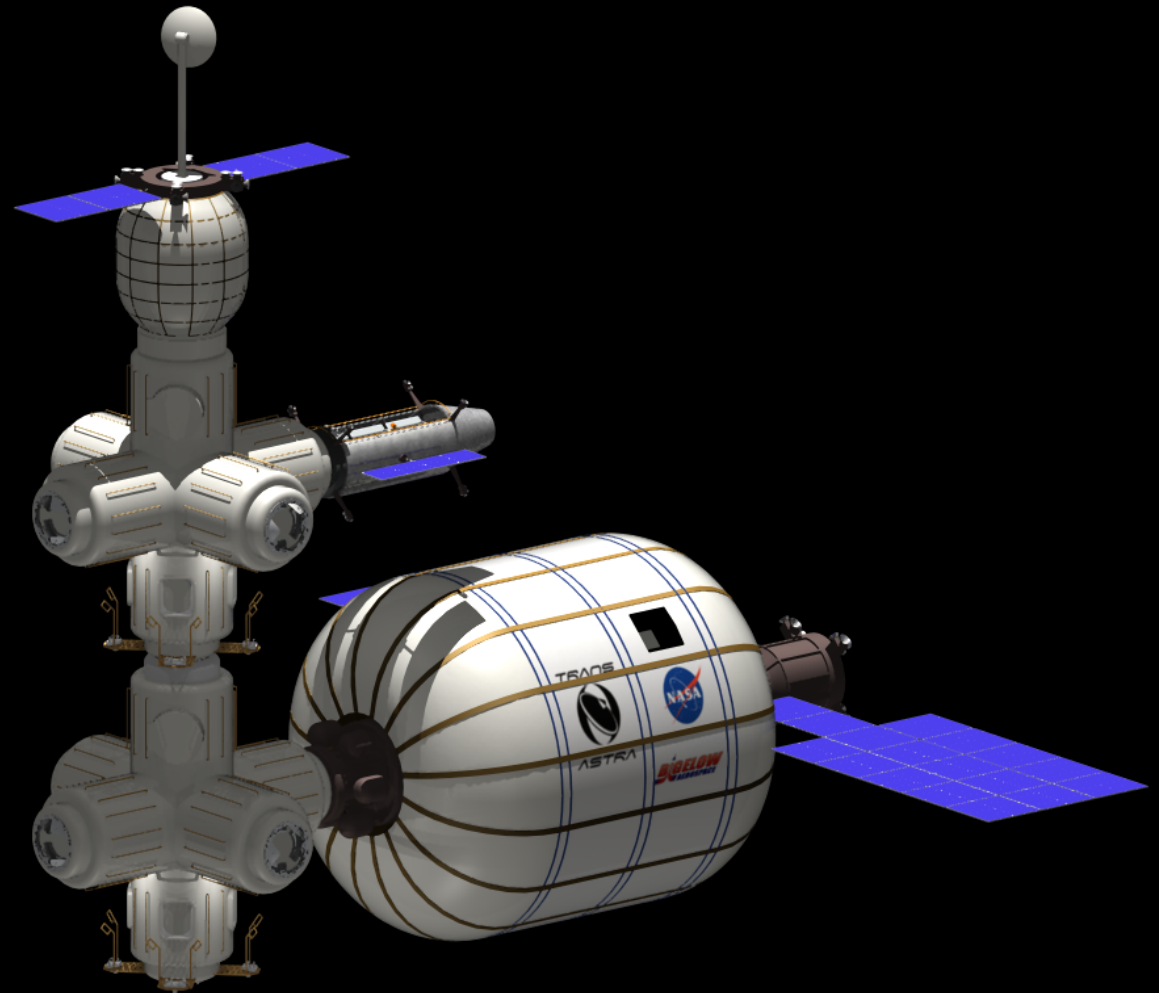
# Lunar Orbital Outpost Build Sequence (1)

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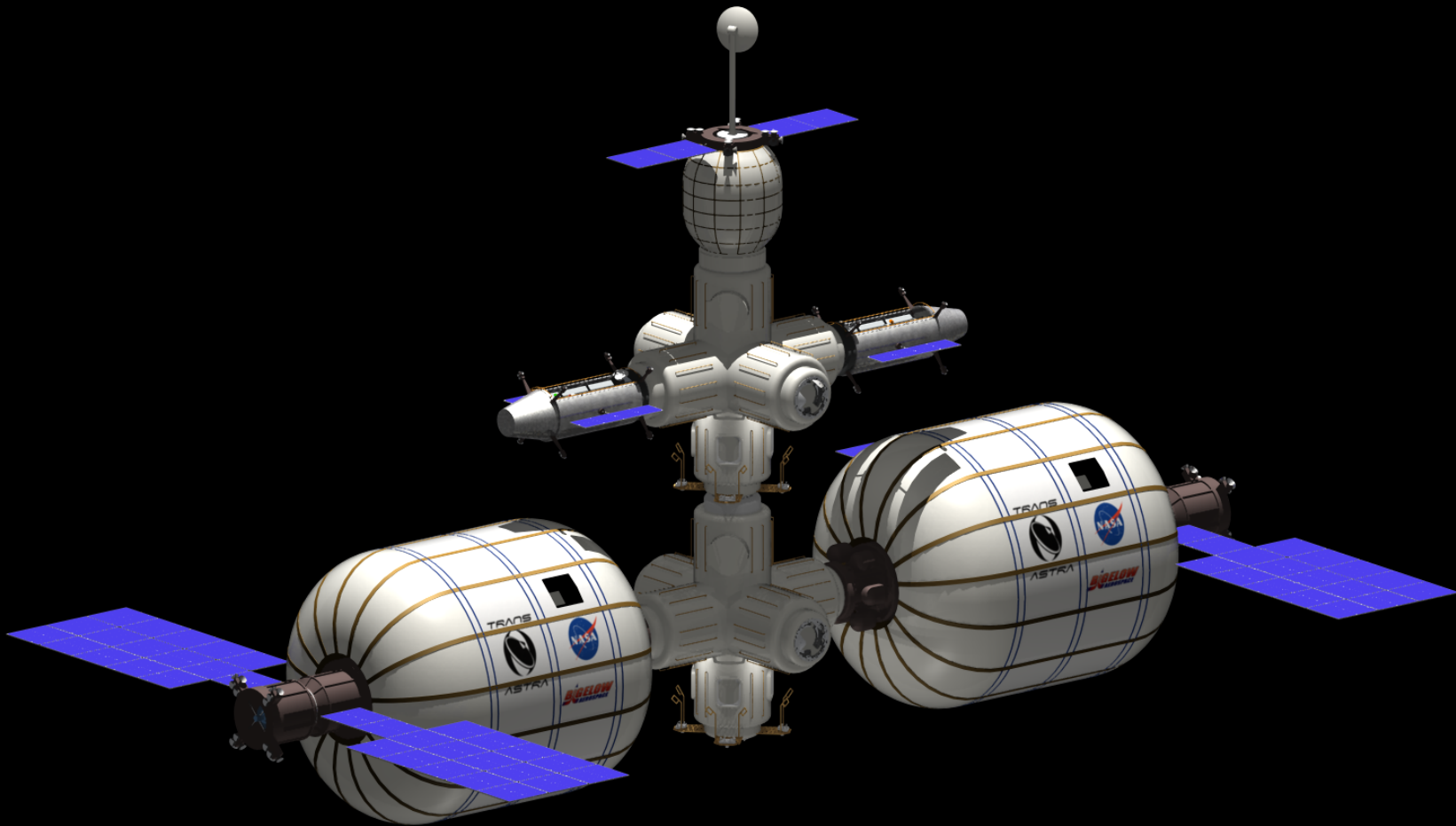
# Lunar Orbital Outpost Build Sequence (2)

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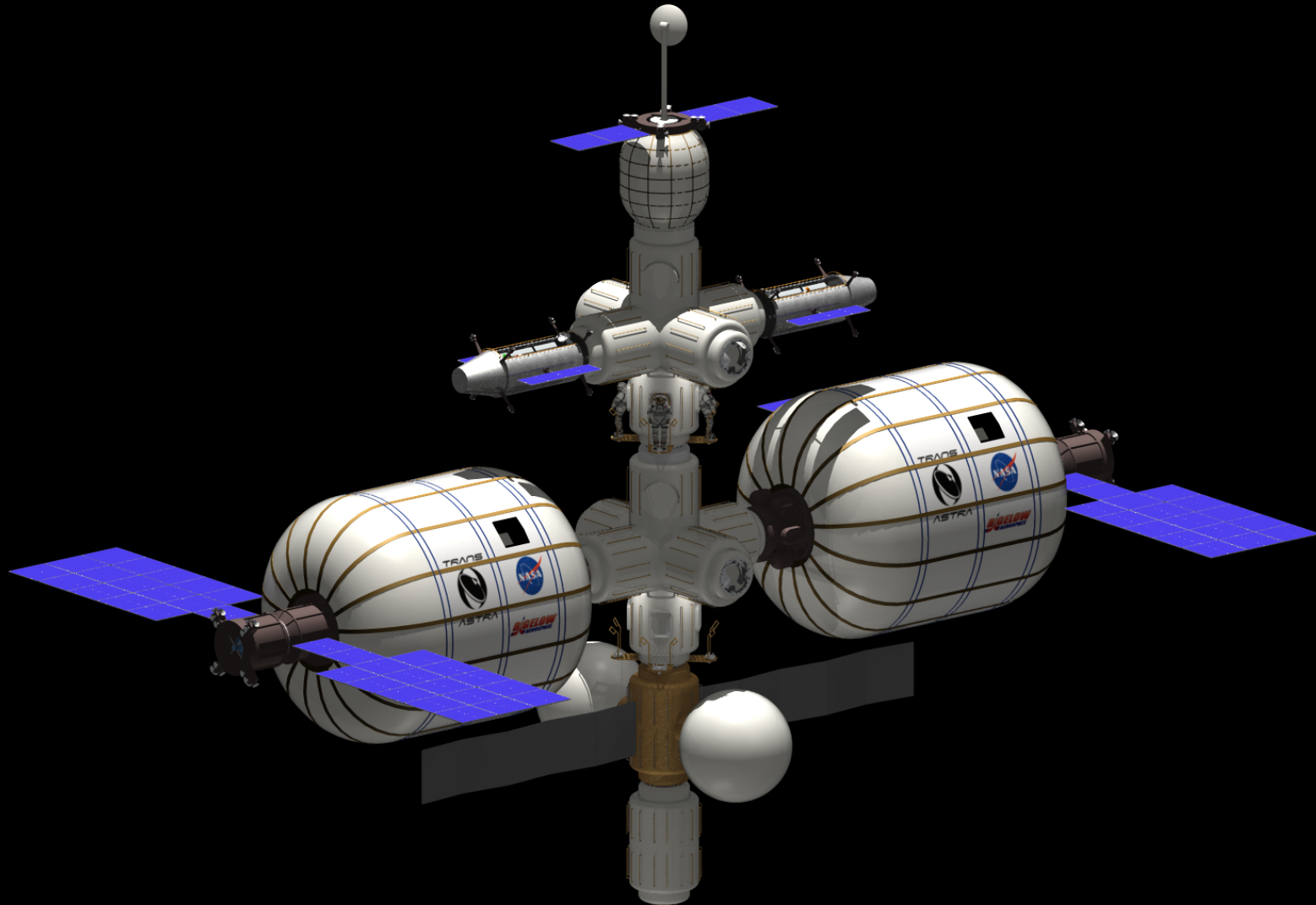
# Lunar Orbital Outpost Build Sequence (3)

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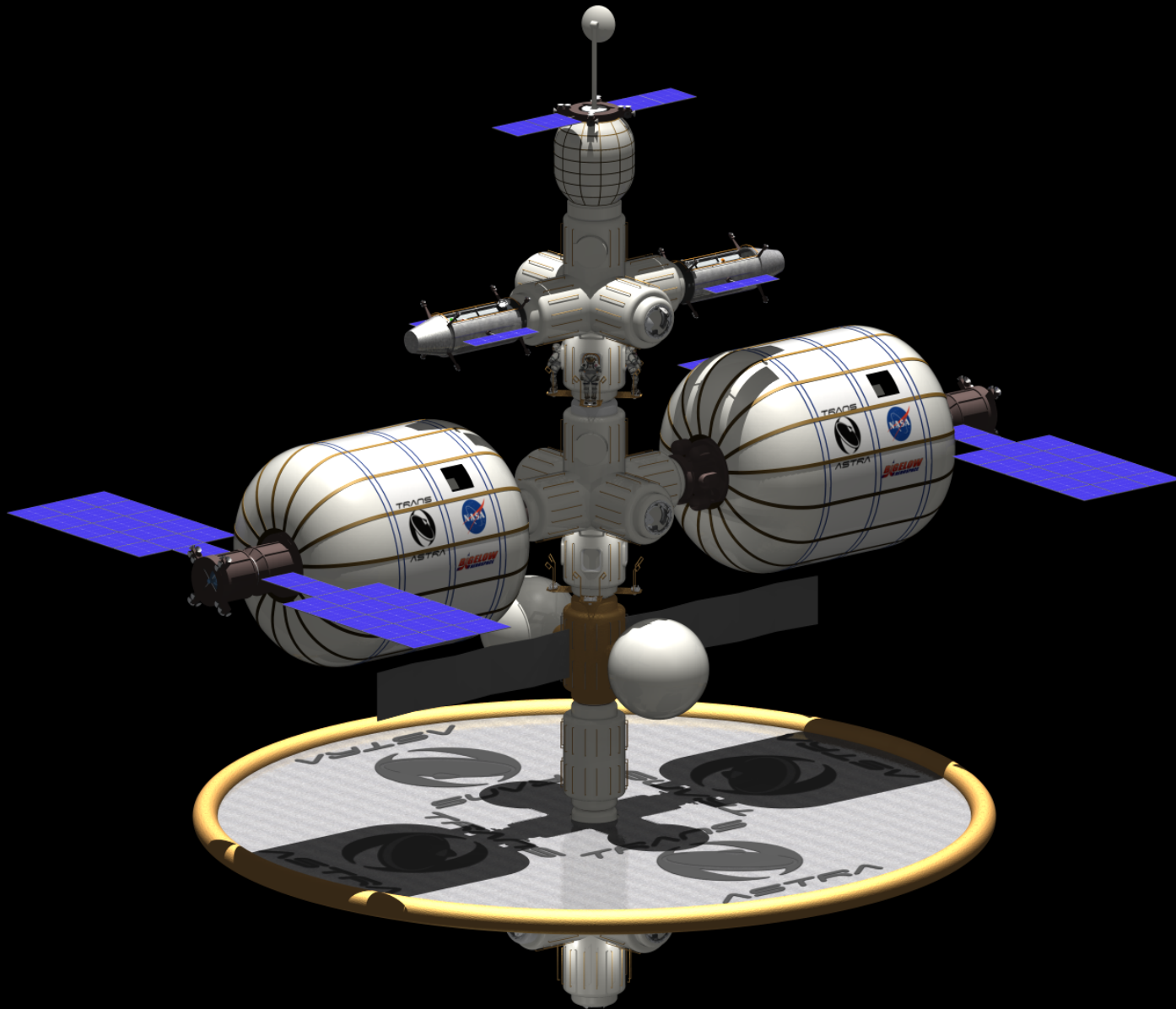


# Lunar Orbital Outpost Build Sequence (4)

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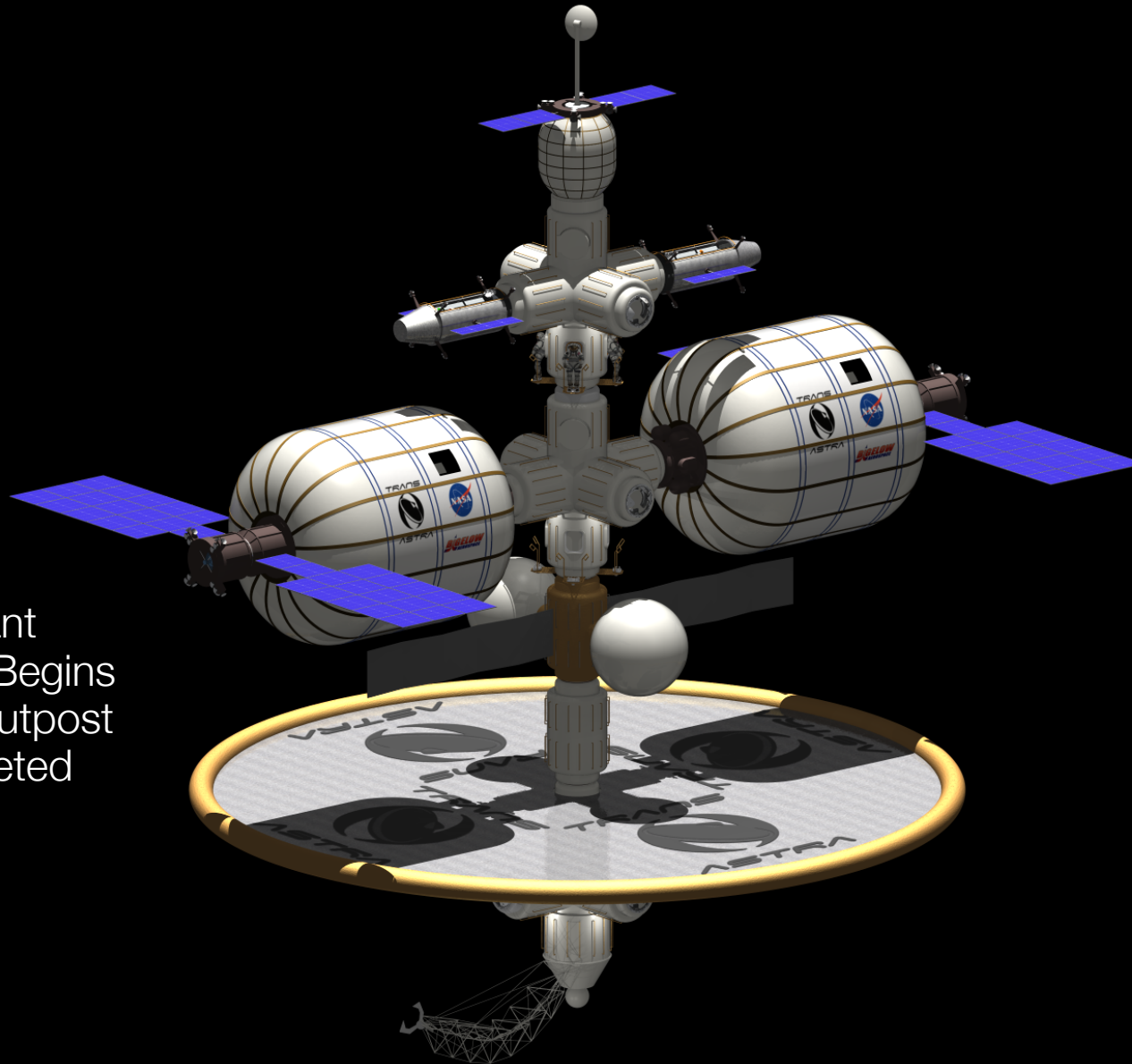


# Lunar Orbital Outpost Build Sequence (5)



# Lunar Orbital Outpost Build Sequence (6)

Propellant  
Processing Begins  
When the Outpost  
is Completed

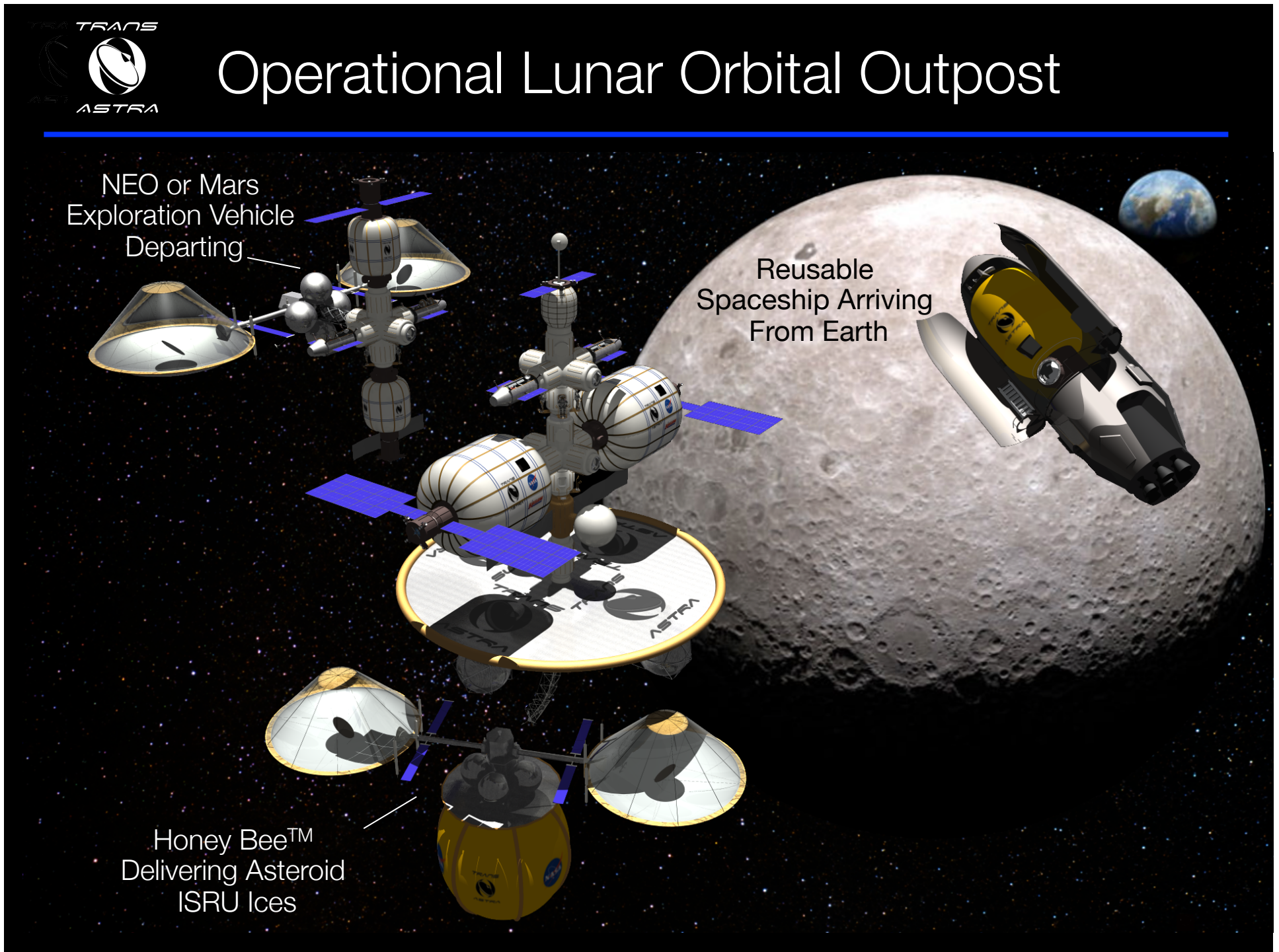


# Operational Lunar Orbital Outpost

NEO or Mars  
Exploration Vehicle  
Departing

Reusable  
Spaceship Arriving  
From Earth

Honey Bee™  
Delivering Asteroid  
ISRU Ices



# Lunar Gas Mining Outpost (LGMO) in 1 km Polar Crater



Solar Arrays In Near-Perpetual Sunlight Supported By Deployable Masts In the Lunar Gravity

Altitude to Nearly Continuous Sunlight  $\approx 100$  m

Landing Pad Behind Berm To Protect Facilities From Rocket Plume

Water Storage Facility Behind Berm Near Pad for Propellant Transport

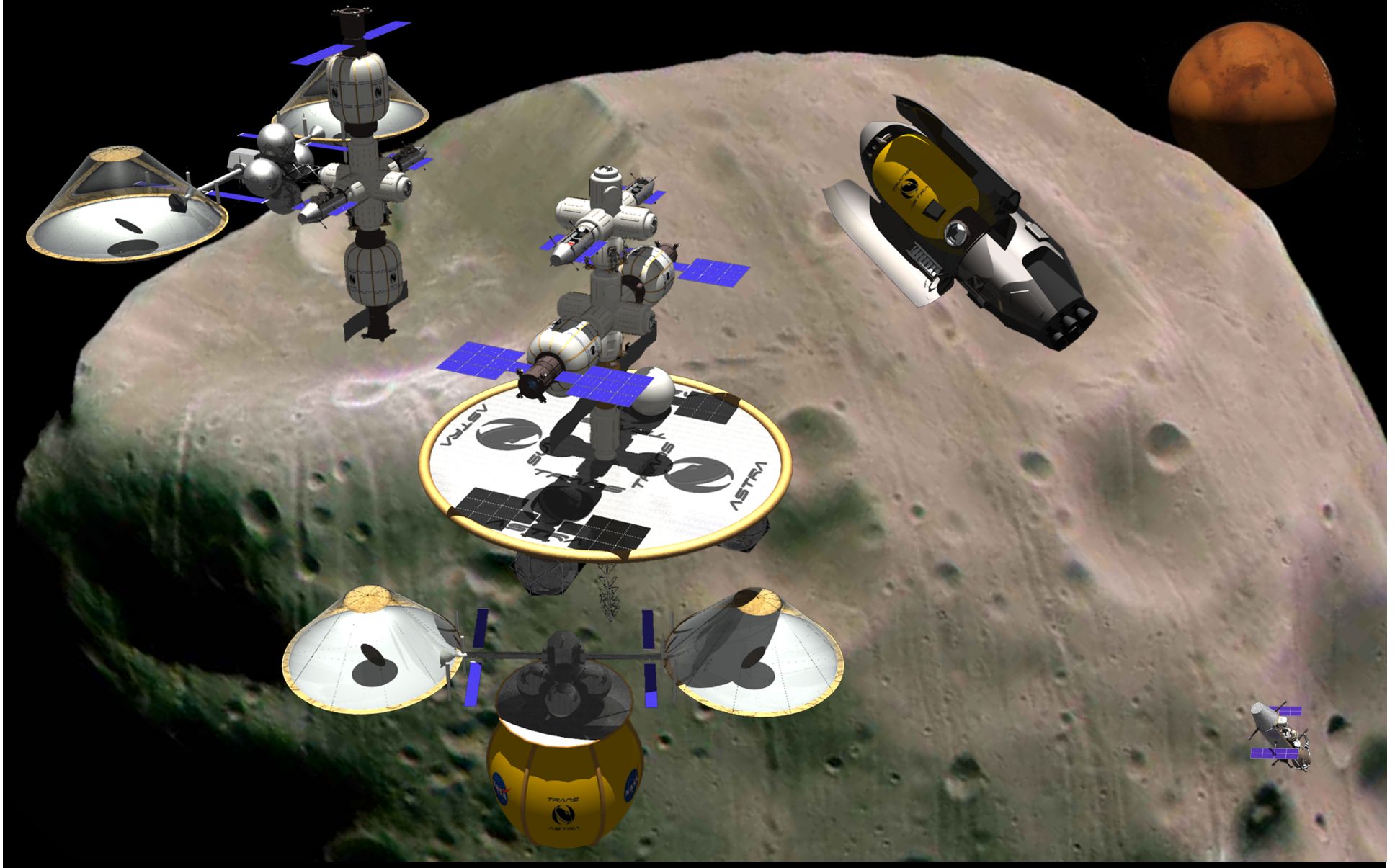
RGD Rovers Mine Water Without Excavation

Outpost Habitats and Laboratories

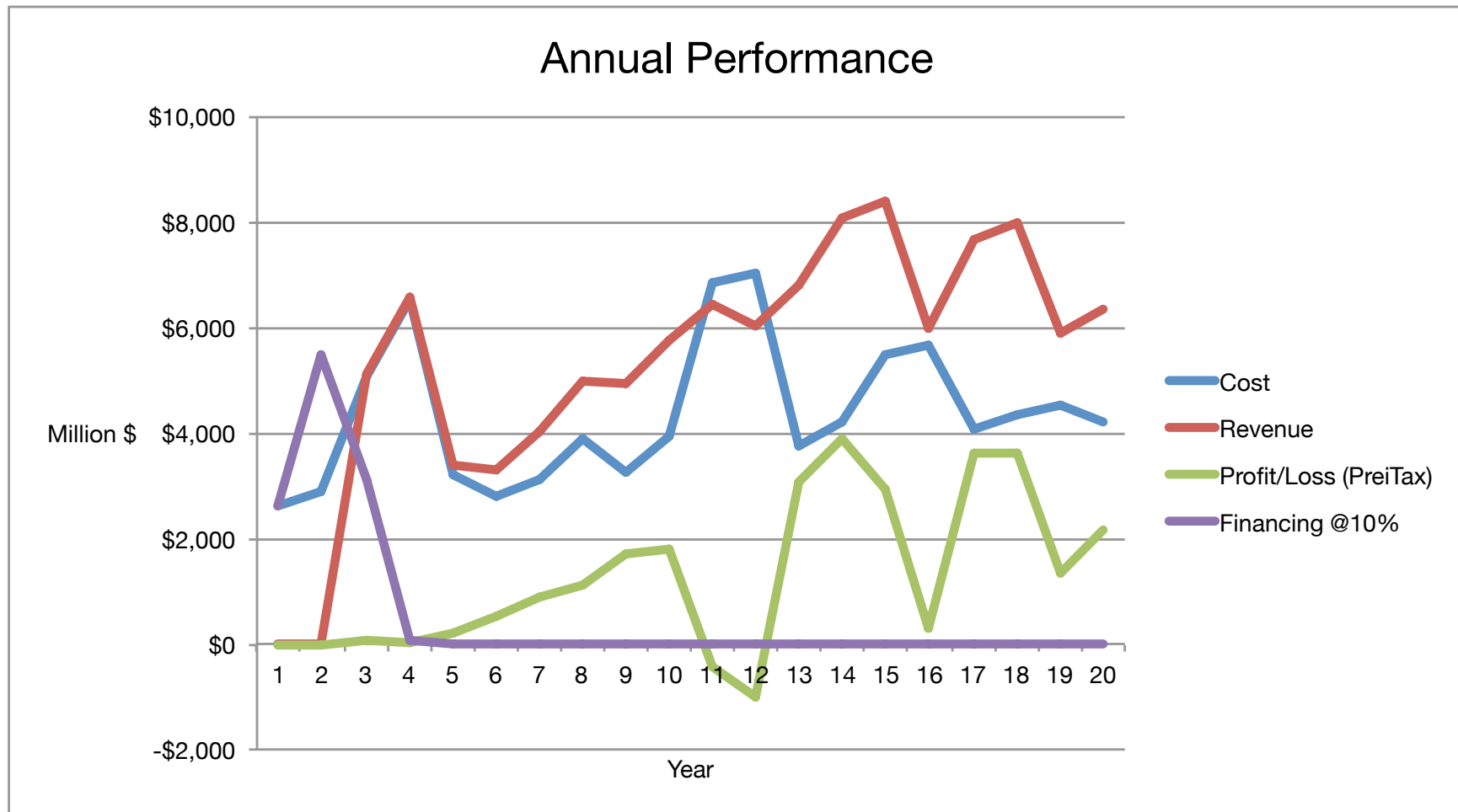
Crater Floor Permafrost Preserved in Cold Darkness for Billions of Years

(3D Scale Model with Ray Traced Solar Illumination)

# Mars Exploration Systems at Deimos



# TransAstra Minimum Business Case: Summary of Costs and Revenues





# Business Case: Transportation Service Price Analysis

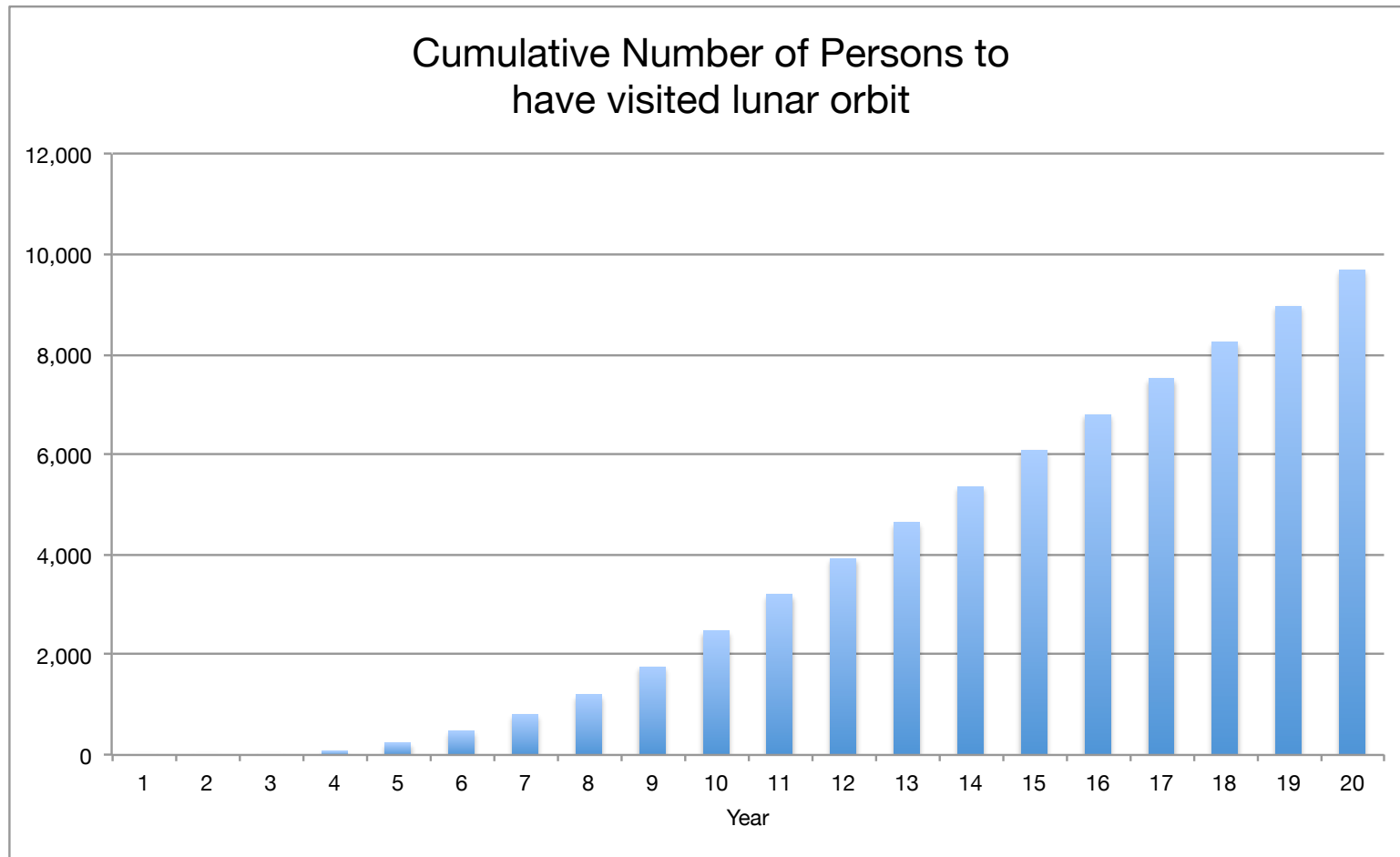
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- Propellant cost based on:
  - Honey Bee™ Unit Cost: 174 M\$ (DDT&E TFU=\$1.7B)
  - Honey Bee™ lifetime: 10 years
  - Honey Bee™ lifetime number of trips: 7
  - Replacement Asteroid Capture System (each): 20 M\$
    - 6 will be required over the lifetime.
  - Replacement Inflatable Systems (each): 10 M\$
    - 2 will be required over the lifetime
  - Initial Launch Cost: 63 M\$ (Falcon 9)
  - Mass returned per trip: 100,000 kg on average
  - Average Propellant production from Mass returned: 60,000 kg
  - Total Lifetime Propellant production: 420 MT
  - Honey Bee™ lifetime costs:  $174 + 120 + 20 + 63 = 377$  M\$
  - $377/420 = 748$  \$/kg Propellant Cost
  - **Price is 748 \$/kg + 20% or 897 \$/kg**

Cost to LEO would be \$3,000/kg, Cost to LDRO would be \$12,000/kg

# Space Tourism Market: Very High Net Worth People

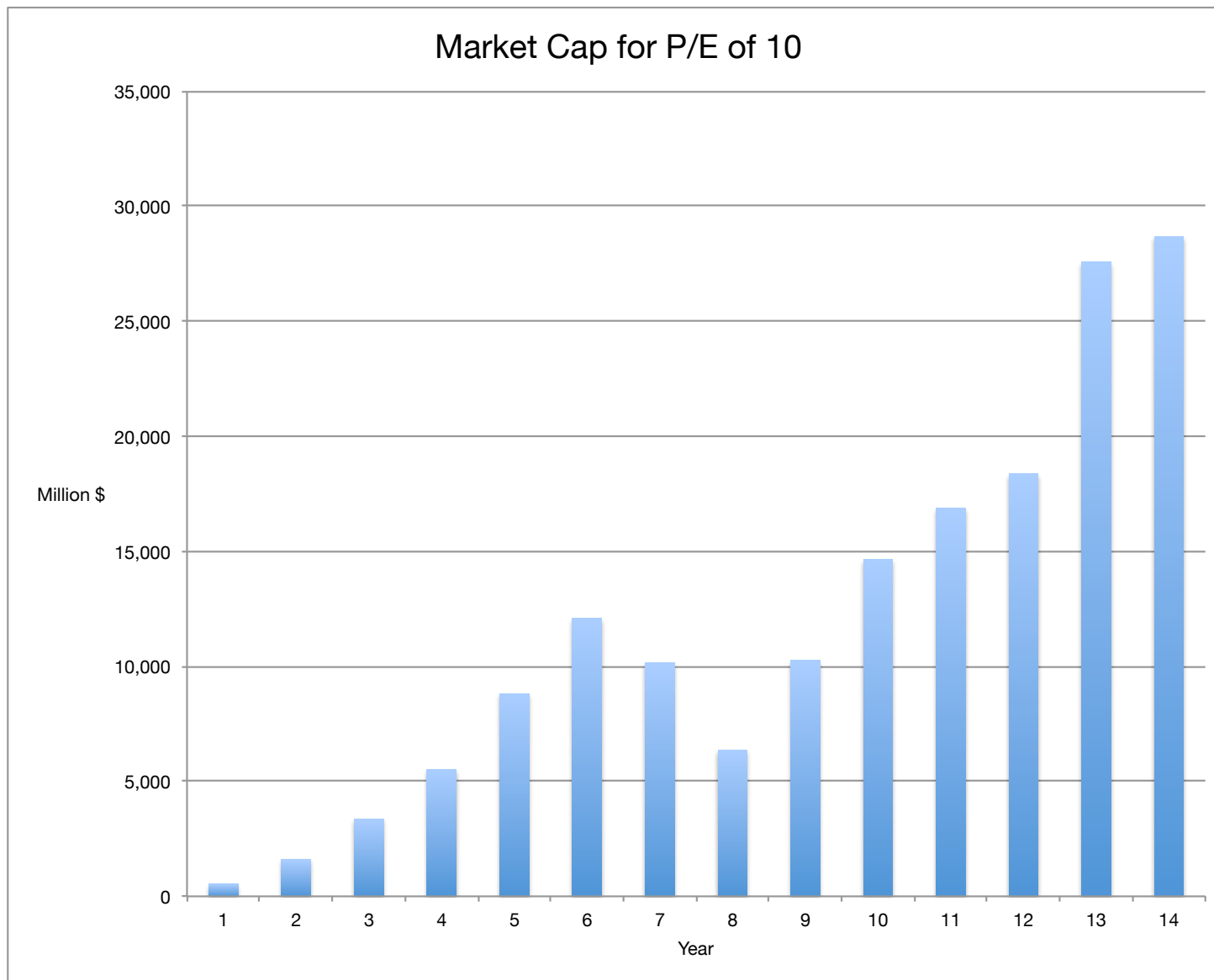
# Lunar Tourism Market Projection



Post Apollo



# TransAstra Projected Market Cap Based on Five Year Forward PE 10:1



# Connect

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Joel C. Sercel, PhD

(818) 422-0514